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# Cyclocoagulation of the Ciliary Bodies by High-Intensity Focused Ultrasound: A 12-Month Multicenter Study

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**Purpose.** To evaluate the safety and efficacy of high-intensity focused ultrasound (HIFU) cyclocoagulation in reducing intraocular pressure (IOP) in patients with refractory glaucoma by using a novel miniaturized delivery device (EyeOP1).

**Methods.** We conducted a 12-month open-label multicenter prospective study (EyeMUST1 Study). Patients with primary (primary open-angle glaucoma [POAG]) or secondary refractory glaucoma were treated in two groups depending on the duration of each ultrasound shot (group 1: 4 seconds; group 2: 6 seconds). The primary efficacy outcome was based on IOP reduction at 6 and 12 months.

**Results.** Fifty-two patients were enrolled: 36 (69%) had POAG and 16 (31%) had secondary glaucoma. Group 1 ($n = 24$) and group 2 ($n = 28$) had similar demographics and baseline characteristics. In group 1, IOP was reduced from a mean preoperative value of 29.7 ± 7.7 mm Hg ($n = 3.5$ glaucoma medications) to a mean postoperative value of 21.3 ± 6.7 mm Hg ($n = 3.5$ glaucoma medications) and 20.1 ± 6.7 mm Hg ($n = 3.2$ glaucoma medications) at 6 and 12 months, respectively. In group 2, IOP was reduced from a mean preoperative value of 29.0 ± 7.4 mm Hg ($n = 3.3$ glaucoma medications) to a mean postoperative value of 20.2 ± 7.4 mm Hg ($n = 3.4$ glaucoma medications) and 18.5 ± 6.6 mm Hg ($n = 3.5$ glaucoma medications) at 6 and 12 months, respectively. At 12 months, the IOP reduction was sustained in both groups (32% IOP reduction in group 1 and 36% IOP reduction in group 2). The overall tolerance of the technique was good, with no serious adverse events.

**Conclusions.** The new miniaturized HIFU EyeOP1 delivery device seems to be effective in decreasing IOP in patients with refractory glaucoma. The technology offers a good safety profile. (ClinicalTrials.gov number, NCT01338467.)

Keywords: ciliary body/ultrasonography, glaucoma/therapy, intraocular pressure, miniaturization/methods, treatment outcome

Intraocular pressure (IOP) reduction is the only glaucoma therapy proven to be effective. It has been shown to delay or prevent the development of glaucoma in eyes with ocular hypertension[1] and to prevent progression of glaucoma in eyes with and without elevated IOP.[2,3] Intraocular pressure reduction can be achieved by topical and systemic medications, various laser therapies, and a number of incisional surgical techniques. These approaches aim to reduce IOP through a better balance between aqueous humor inflow and outflow: increasing outflow and/or decreasing inflow, that is, aqueous humor production.

Diode laser cyclophotocoagulation (CPC) is considered to be the current ciliary body ablation technique to lower IOP.[4] Historical methods, such as diathermy and cryotherapy, have been used with poor visual status and are associated with serious and vision-impairing complications.[4,5]

Laser diode cycloablation has been shown to be reasonably effective but may cause destruction of surrounding ocular tissues, with a significant risk of chronic ocular hypotony, phthisis, uveal inflammation, and retinal detachment.[4–10]

To selectively destroy ciliary body tissue, high-intensity focused ultrasound (HIFU) was introduced first in the United States by Coleman et al.[11] (Sonocare Therapeutic Ultrasound System; Sonocare, Inc., Ridgewood, NJ, USA) and later in Europe. Positive outcomes decreasing IOP have been reported.[11–13] Compared to lasers, ultrasound beams can be focused through optically opaque ocular media with controlled energy absorption, thereby minimizing the impact upon adjacent structures, and their effect does not depend on the degree of

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pigmentation of the ciliary body. Despite being effective in reducing IOP, the former HIFU procedure is burdensome, requiring up to 2 hours in the operating room[11,14] and is technically challenging owing to a rather bulky delivery system and the complex management of multiple confluent impacts. Moreover, the procedure is associated with a relatively high rate of ocular complications, probably due to the inaccurate positioning of the bulky probe. Furthermore, the relatively low frequency of the device (5 MHz) creates a much wider focal zone, thus potentially damaging more tissue than necessary.[15,16]

Leveraging recent advances in the technology, a new cyclocoagulation device, the EyeOP1 (EyeTechCare, Rillieux-la-Pape, France), using miniaturized transducers to produce HIFU, has been developed. The device has previously been described in detail.[16–18] Several technical improvements have been made to the previous ultrasound technology, providing simpler, safer, faster, and more precise treatment. More specifically, the therapy probe is placed in direct contact with the ocular surface. The entire treatment is conducted with no need to modify the settings, which significantly reduces the procedure time (approximately 2 minutes) and minimizes the risk of operator errors. The rapid sequential activation of the miniaturized transducers delivers six focused ultrasound beams to induce partial and well-controlled lesions corresponding to six segments of linear tissue coagulation in the ciliary body (cyclocoagulation). The higher operating frequency (21 MHz) than that of the Sonocare system (5 MHz) allows for a sharper transition between the focal zone and adjacent untreated tissue. The result is a highly precise focusing of the HIFU target zone, not exceeding 0.1 mm × 1 mm, enhanced by preoperative high-resolution modeling of the ocular structures. The treatment parameters include a 21-MHz frequency, 2.45-W acoustic power, with the activation of each transducer lasting 4 or 6 seconds, depending on patient groups. The HIFU probe is supplied in three sizes (11, 12, and 13 mm), which fit most ocular sizes and, for every patient, the choice of the right size is based directly on ultrasound biomicroscopy (UBM) preoperative biometric data.[17]

Animal studies using the EyeOP1 device have shown a reduction in IOP with good local tolerance, confirmed by histologic examination.[18] Subsequently, a pilot clinical study in 12 refractory glaucoma patients was conducted, showing a significant IOP reduction with no major intra- or postoperative complications, using 3- and 4-second treatment times.[17] Based on the results of the pilot study, a new dose-escalation study was conducted with 4- and 6-second treatment times, mainly to compare the efficacy results and safety profile with the two exposure times.

## Patients and Methods

Fifty-two patients with primary and secondary glaucoma of various etiologies (Table 1), including primary open-angle glaucoma (POAG) with a history of previous failed filtering surgery, pigmentary glaucoma, and pseudo-exfoliation glaucoma, were recruited from nine French clinical sites under a prospective open-label study of the EyeOP1 device (Table 1). The EyeMUST1 study was registered on ClinicalTrials.gov under the identifying number NCT01338467. The study was conducted in compliance with the Declaration of Helsinki, ISO 14155:2011: Clinical Investigations of Medical Devices for Human Subjects—Good Clinical Practices, and after approval from relevant ethics committees and the competent authorities. All patients provided written informed consent before enrollment. Eligibility criteria included men or women 18 years or older, IOP > 21 mm Hg under maximum medical therapy, with at least one failed filtering surgery. Patients included in the study had not had surgical or laser treatment within the 3 months preceding the study treatment, and no previous ciliary body interventions or drainage implants were included. Additionally, prior hypotensive treatments were maintained throughout the study period. Only one eye per patient was eligible for enrollment.

**Table 1.** Patient Demographics

| *N* (%) | Group 1 | Group 2 | *P* Value |
|---|---|---|---|
| Patients | 24 | 28 | |
| Age, mean (range), y | 63.8 (40–89) | 62.5 (37–88) | NS |
| Sex | | | 1.00* |
| Female | 12 (50.0) | 14 (50.0) | |
| Male | 12 (50.0) | 14 (50.0) | |
| Ethnicity | | | 0.123† |
| Caucasian | 18 (75.0) | 26 (92.8) | |
| Black | 6 (25.0) | 2 (7.2) | |
| Type of glaucoma | | | 0.313† |
| Primary open-angle | 14 (58.3) | 22 (78.6) | |
| Uveitic | 3 (12.5) | 0 | |
| Exfoliative | 1 (4.2) | 2 (7.1) | |
| Pigmentary | 1 (4.2) | 0 | |
| Traumatic | 1 (4.2) | 0 | |
| Aphakic | 1 (4.2) | 1 (3.6) | |
| Others | 3 (12.5) | 3 (10.7) | |
| Juvenile | 2 | 0 | |
| Chandler syndrome | 1 | 0 | |
| Ocular toxoplasmosis | 0 | 1 | |
| Angle-closure glaucoma | 0 | 2 | |

* $\chi^2$ test.
† Fisher test.

Two consecutive groups of patients treated with two different exposure times were included. The patients in group 1 were treated with a 4-second insonification exposure time and the patients in group 2 were treated with a 6-second exposure time.

The 4-second exposure time was the dose used in the initial pilot study, showing an acceptable compromise in terms of efficacy and safety. To confirm the results of the pilot study on a broader population with a greater number of centers involved, this study started at the 4-second exposure time.

The dose-escalation design was planned to allow the HIFU duration to be increased from 4 to 6 seconds after a 1-month interim review of the results of the first pool of patients by the investigator committee. Based on preclinical studies, increasing the HIFU delivery time from 4 to 6 seconds was intended to increase the volume of ciliary process destruction from 4.8 $mm^3$ to 7.8 $mm^3$ each, and therefore to increase the overall effect on inflow reduction.[15,16]

The study lasted 1 year, with the primary effectiveness endpoint evaluated at 6 and 12 months. Postoperative visits occurred at 1 day, 1 week, and then 1, 2, 3, 6, and 12 months. The ocular examination included slit-lamp and fundus examination, Snellen best corrected visual acuity (BCVA), central visual field, ultrasonic corneal pachymetry, gonioscopy, preoperative axial length measurement, UBM, and optical coherence tomography (AC Visante OCT; Carl Zeiss Meditec AG, Jena, Germany) examinations. Intraocular pressure, measured with Goldman applanation tonometry, was requested to be taken within ±3 hours of the preoperative examination time. The use of hypotensive medication and any adverse events were recorded at each visit. As per protocol, the glaucoma medication remained unchanged for the 2 months following

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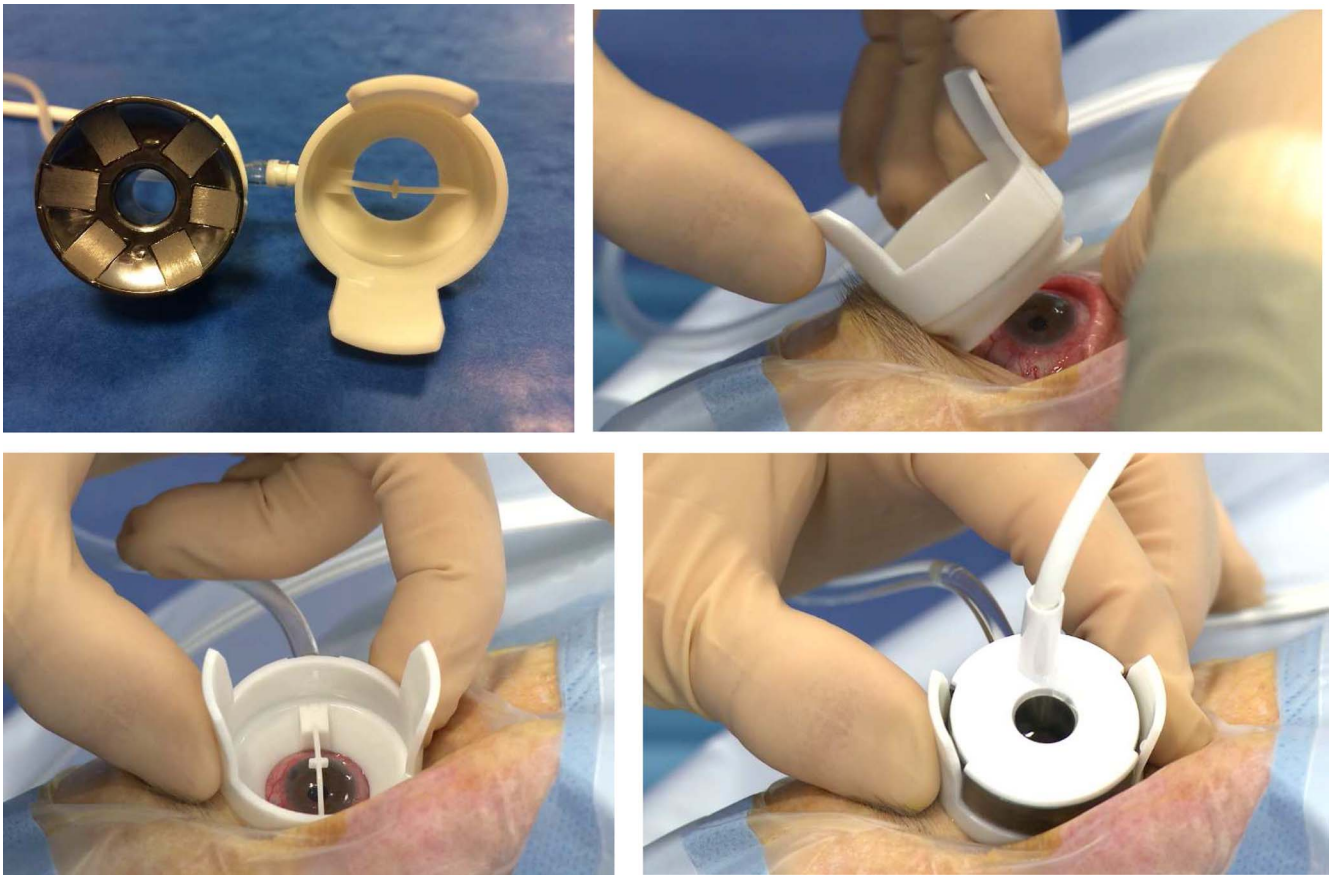


FIGURE 1. *Top left*: High-intensity focused ultrasound device comprising two elements: the probe (*left*) with the six piezoelectric elements (transducers) generating the ultrasound beam, and the positioning cone (*right*). *Bottom left*: The cone in place showing a ring of visible sclera; when this ring is regular, the position is correct and then maintained by a mild vacuum system. *Bottom right*: The probe has been inserted in the cone and the device has been filled with physiological solution via the well in the middle. The treatment can start.

the study procedure. After the 2-month period, if necessary, an adjustment of the patient's medication was allowed. Also, after 2 months, retreatment was allowed for patients with either IOP remaining above 28 mm Hg despite initial treatment efficacy, that is, a >20% reduction, or for patients who did not experience a 20% decrease versus preoperative values.

## HIFU Procedure

Patients were treated under peribulbar anesthesia, general anesthesia, or topical anesthesia combined with short sedation, according to each center's specific preferences. All the investigators were carefully trained in the EyeOP1 procedure (Fig. 1). To focus the ablation in the ciliary body, the HIFU probe size was selected on the basis of anatomy of each eye, assessed in all patients by UBM ultrasonography of the anterior segment. Moreover, in several equipped centers, a Visante AS-OCT examination was done (25/54 patients), but the OCT results were not used to determine probe size. All probe sizes were selected in the study management center by a single operator using a proprietary computer-assisted overlay drawing method performed by the EyeOP1 manufacturer. Three device models with different ring diameters, equipped with the six transducers, were available. Depending on the diameter, the six elliptical cylinder-shaped volumes were centered on an 11-, 12-, or 13-mm-diameter circle. After sizing, the probe was manually centered on the patient's eye and held in place by a mild suction system during the sequential activation of the six sectors.

In addition to the pressure-lowering preoperative treatment requested to be maintained for the first 2 months after the HIFU procedure, postoperative treatments as per protocol included flurbiprofen (Ocufen; Allergan, Irvine, CA, USA) or a combination of dexamethasone and tobramycin (Tobradex; Alcon Laboratories, Inc., Fort Worth, TX, USA) given four times daily for 1 month.

## Outcome Measures and Statistical Analysis

The primary efficacy outcome was based on IOP reduction at 6 and 12 months. Surgical success (patients considered to be responders) was IOP reduction from baseline $\geq$ 20% and final IOP $>$ 5 mm Hg without adding hypotensive medications and with possible HIFU retreatment.

Secondary endpoints were intra- and postoperative tolerance and visual acuity. Other study outcomes included IOP and medication use results, and complication rates.

The key outcome measures are presented by using descriptive statistics. The Student's *t*-test was used to compare means and percentages; statistical significance was set at $P < 0.05$. Owing to the descriptive nature of the statistical analysis, no imputations of missing data were performed, and the analyses used only observed data.

The study protocol design stated that in case an additional treatment, such as filtering surgery or cyclodestruction (CPC laser or cryotherapy), was administered to a patient to lower ocular pressure, the patient would be considered as having failed the HIFU treatment (failure). Efficacy data (IOP values)

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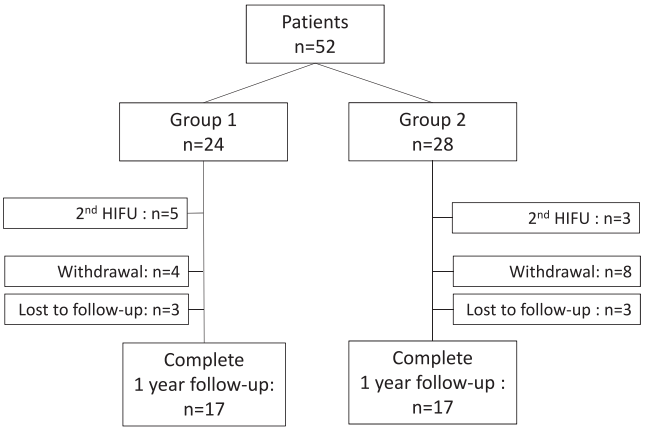



**Figure 2.** Flow chart.

would not be collected and integrated into the results for patients withdrawn from the study after undergoing filtering surgery or CPC treatment by diode laser so as not to bias the results.

## Results

### Demographics

Fifty-two (52) patients were enrolled in the study (Fig. 2): 24 were treated with the 4-second dose (group 1) and 28 with the 6-second dose (group 2). Demographic data are reported in Table 1. The HIFU procedure was incomplete for two patients: one experienced chemosis, impairing the ability to deliver the planned treatment, and for another patient, only four of the six planned sectors were treated. Nevertheless, these two patients were included in the efficacy and safety analysis. The enrollment rate between the two groups was overall evenly distributed across the sites. Only one eye per patient was treated.

Table 2 presents the ocular characteristics of the population before the study treatment, per study group.

All HIFU procedures were performed by 10 surgeons, under local anesthesia ($n = 38$), topical with sedation ($n = 7$), or general ($n = 7$) anesthesia depending on patient and physician preference. Forty-four patients had only one HIFU procedure and eight patients had two HIFU procedures.

### Efficacy in All Patients

The efficacy results include the patients who were re-treated as planned as per protocol for five (5/24) patients in group 1 and three (3/28) patients in group 2.

The effect of the HIFU procedure on IOP was clinically significant for both groups (Table 3).

At 6 months, IOP was significantly reduced in group 1 from 29.7 mm Hg (SD, 7.7 mm Hg) to 21.3 mm Hg (SD, 6.7 mm Hg), corresponding to a mean reduction of 28.3%; and in group 2 from 29.0 mm Hg (SD, 7.4 mm Hg) to 20.2 mm Hg (SD, 7.4 mm Hg), corresponding to a mean IOP reduction of 30.2%. These results were obtained with virtually no change in glaucoma medication in either group.

At 12 months, IOP reduction was sustained in both groups with a mean IOP of 20.1 mm Hg (SD, 6.7 mm Hg) in group 1 (corresponding to a mean reduction of 32.2%) and a mean IOP of 18.5 mm Hg (SD, 6.6 mm Hg) in group 2 (corresponding to a mean reduction of 36.0%) (Fig. 3).

Success, defined as a greater than 20% IOP decrease and IOP $>$ 5 mm Hg was achieved at 6 months in 61.9% (13/21) of the patients in group 1 and 65.4% (17/26) of the patients in group 2. At 12 months, this proportion was 57.1% in group 1 (12/21) and 48.0% in group 2 (12/25). This difference was not statistically significant (Fisher test, $P$ value = 0.56).

### Efficacy in POAG Patients

The success rate of the POAG subgroups significantly differed from that observed in the overall population (Table 4).

At 6 months, IOP was significantly reduced in group 1 POAG patients from 28.0 mm Hg (SD, 5.0 mm Hg) to 20.3 mm Hg (SD, 5.7 mm Hg), corresponding to a mean IOP reduction of 27.5%; and in group 2 POAG patients, from 28.7 mm Hg (SD, 6.8 mm Hg) to 18.8 mm Hg (SD, 5.1 mm Hg), corresponding to a mean IOP reduction of 34.5%. These results were obtained with virtually no change in glaucoma medications in either group.

At 12 months, IOP reduction was sustained in both groups with a mean IOP of 19.0 mm Hg (SD, 5.6 mm Hg) in group 1

**Table 2.** Patient Ocular Characteristics

| *N* (%) | Group 1, $n = 24$ | Group 2, $n = 28$ | *P* Value |
|---|---|---|---|
| No. of previous filtering glaucoma surgeries | | | 0.466* |
| $n = 1$ | 15 (62.5) | 17 (60.7) | |
| $n = 2$ | 6 (25.0) | 10 (35.7) | |
| $n \geq 3$ | 3 (12.5) | 1 (3.6) | |
| Lens status | | | 0.887* |
| Phakic | 11 (45.8) | 15 (53.6) | |
| Pseudophakic | 12 (50.0) | 12 (42.8) | |
| Aphakic | 1 (4.2) | 1 (3.6) | |
| Preoperative mean values (range) | | | |
| IOP, mean ± SD, mm Hg | 29.7 ± 7.7 | 29.0 ± 7.4 | 0.739† |
| No. of glaucoma medications, eyedrops | 2.9 (1–4) | 2.8 (0–4) | 0.776† |
| No. of patients with preoperative systemic carbonic anhydrase inhibitors | 7 (29.1) | 7 (25.0) | 0.735‡ |
| No. of previous glaucoma surgeries | 1.54 (1–4) | 1.50 (1–4) | 0.847† |
| BCVA, logMAR | 0.98 | 0.94 | 0.899† |

* Fisher test.
† Student's *t*-test.
‡ $\chi^2$ test.

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**Table 3.** Intraocular Pressure Results for All Patients per Group

| | Group 1, $n = 24$ | | | | Group 2, $n = 28$ | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Mean IOP (No. of Patients)* [Mean No. of Glaucoma Medications] | Relative IOP Reduction, % | Patients Re-Treated, $n$† | Success Rate, % | Mean IOP (No. Of Patients)‡ [Mean No. of Glaucoma Medications] | Relative IOP Reduction, % | Patients Re-Treated, $n$† | Success Rate, % | $P$ Value§ |
| Baseline | 29.7 ± 7.7 (24) [3.5] | - | - | - | 29.0 ± 7.4 (28) [3.3] | - | - | - | 0.73 |
| D 1 | 23.5 ± 9.5 (24) [3.4] | 20.7 | - | 54.2 | 22.4 ± 7.1 (28) [3.4] | 22.8 | - | 64.3 | 0.62 |
| D 7 | 18.9 ± 7.4 (24) [3.4] | 36.3 | - | 70.8 | 17.6 ± 9.2 (28) [3.4] | 39.4 | - | 85.7 | 0.56 |
| Mo 1 | 21.8 ± 7.7 (24) [3.4] | 26.4 | - | 66.7 | 20.8 ± 10.9 (27) [3.4] | 28.2 | - | 66.7 | 0.69 |
| Mo 2 | 22.4 ± 11.4 (21) [3.5] | 24.5 | - | 66.7 | 21.5 ± 7.0 (25) [3.4] | 25.8 | 1 | 61.5 | 0.75 |
| Mo 3 | 22.4 ± 8.3 (21) [3.5] | 24.7 | 1 | 54.5 | 22.5 ± 10.0 (24) [3.5] | 22.4 | - | 68.0 | 0.96 |
| Mo 6 | 21.3 ± 6.7 (19) [3.5] | 28.3 | 2 | 61.9 | 20.2 ± 7.4 (22) [3.4] | 30.2 | 2 | 65.4 | 0.64 |
| Mo 12 | 20.1 ± 6.7 (17) [3.2] | 32.2 | 5 | 57.1 | 18.5 ± 6.6 (17) [3.5] | 36.0 | 3 | 48.0 | 0.49 |

* Group 1: month-2 visit: one patient lost to follow-up (LtoFU) + two missing data (MD); month-3 visit: one LtoFU + one MD + one withdrawal; month-6 visit: three LtoFU + two withdrawals; month-12 visit: three LtoFU + four withdrawals.

† Cumulative data.

‡ Group 2: month-1 visit: one MD; month-2 visit: two MD + one withdrawal; month-3 visit: one LtoFU + two MD + one withdrawal; month-6 visit: one LtoFU + one MD + four withdrawals; month-12 visit: three LtoFU + eight withdrawals.

§ Student's $t$-test on IOP (mm Hg).

**Table 4.** Intraocular Pressure Results of POAG Subgroup Analysis

| | Group 1, $n = 14$ | | | | Group 1, $n = 22$ | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Mean IOP (No. of Patients)* [Mean No. of Glaucoma Medications] | Relative IOP Reduction, % | Patients Re-Treated, $n$† | Success Rate, % | Mean IOP (No. of Patients)‡ [Mean No. of Glaucoma Medications] | Relative IOP Reduction, % | Patients Re-Treated, $n$† | Success Rate, % | $P$ Value§ |
| Baseline | 28.0 ± 5.0 (14) [3.5] | NA | NA | NA | 28.7 ± 6.8 (22) [3.2] | NA | NA | NA | 0.69 |
| D 1 | 22.3 ± 8.5 (14) [3.5] | 20.2 | - | 57.1 | 22.4 ± 7.1 (22) [3.3] | 22.0 | - | 54.5 | 0.96 |
| D 7 | 17.1 ± 5.6 (14) [3.5] | 38.8 | - | 78.6 | 16.7 ± 7.1 (22) [3.3] | 41.8 | - | 86.4 | 0.86 |
| Mo 1 | 19.4 ± 5.7 (14) [3.5] | 30.7 | - | 78.6 | 19.6 ± 9.1 (21) [3.3] | 31.7 | - | 68.2 | 0.92 |
| Mo 2 | 20.7 ± 6.2 (13) [3.7] | 25.9 | - | 69.2 | 21.1 ± 6.6 (20) [3.4] | 26.6 | - | 54.5 | 0.87 |
| Mo 3 | 19.3 ± 4.3 (13) [3.4] | 31.1 | - | 76.9 | 21.6 ± 10.4 (18) [3.5] | 24.9 | - | 61.9 | 0.40 |
| Mo 6 | 20.3 ± 5.7 (13) [3.4] | 27.5 | 1 | 78.6 | 18.8 ± 5.1 (17) [3.3] | 34.5 | 1 | 61.9 | 0.47 |
| Mo 12 | 19.0 ± 5.6 (13) [3.0] | 32.0 | 2 | 78.6 | 19.0 ± 6.0 (13) [3.4] | 33.9 | 2 | 45.0 | 1.00 |

* Group 1: month-1 visit: one MD; month-3 visit: one MD; month-6 visit: one patient withdrawal; month-12 visit: one patient withdrawal.

† Cumulative data.

‡ Group 2: month-1 visit: one MD; month-2 visit: one MD + one patient withdrawal; month-3 visit: one LtoFU + two MD + one patient withdrawal; month-6 visit: one LtoFU + four patient withdrawals; month-12 visit: two LtoFU + seven patient withdrawals.

§ Student's $t$-test on IOP (mm Hg).

**Table 5.** Intra- and Postoperative Ocular Complications

| Ocular Complications | Group 1 | Group 2 |
|---|---|---|
| Intraoperative | | |
| Pain | 1 | 3 |
| Corneal burn | 0 | 0 |
| Subconjunctival hemorrhage | 1 | 1 |
| Postoperative | | |
| Hyperemia | 9 | 16 |
| Superficial punctuated keratitis | 6 | 11 |
| Corneal edema | 2 | 2 |
| Ocular pain | 1 | 2 |
| Anterior chamber reaction | 6 | 7 |
| Transient hypotonia, choroidal detachment | 0 | 1 |
| Transient macular edema | 0 | 1 |
| Phthisis | 0 | 0 |
| Cataract | 0 | 0 |
| Intravitreous hemorrhage | 0 | 0 |
| Loss of visual acuity (>2 lines) | 3 (12.5%) | 3 (10.7%) |

POAG patients (32.0% IOP reduction) and a mean IOP of 19.0 mm Hg (SD, 6 mm Hg) in group 2 POAG patients (33.9% IOP reduction).

Success, defined as an IOP decrease greater than 20% and IOP $>$ 5 mm Hg was achieved at 6 months in 78.6% (11/14) of group 1 POAG patients and 61.9% (13/21) of group 2 POAG patients. This difference was not statistically significant (Fisher test, $P$ value = 0.46).

At 12 months, this proportion was 78.6% in group 1 POAG patients (11/14) and 45.0% in group 2 POAG patients (9/20). This difference was not statistically significant (Fisher test, $P$ value = 0.079).

## Tolerance

Safety information was reported for 52 subjects, including the two patients for whom the treatment was not administered as per protocol.

## Intraoperative Complications

Few minor intraoperative complications were reported. As mentioned above, 38 patients received the procedure under peribulbar anesthesia, 7 under topical anesthesia, and 7 under general anesthesia. A total of 4 of 52 patients reported tolerable pain during the procedure (one patient in group 1 and three patients in group 2). In all cases, intraoperative pain was transient and disappeared immediately at the end of the procedure. Two subjects had intraoperative subconjunctival hemorrhage.

One patient in group 1 presented an IOP spike of 8 mm Hg above baseline, which resolved within 1 hour of instillation of apraclonidine hydrochloride (Iopidine 0.5%; Alcon Laboratories).

No corneal burns were observed during the procedure.

## Postoperative Complications

The postoperative complications are listed in Table 5. Seventeen cases (33%) of superficial punctate keratitis resolved spontaneously in a few days and two cases of blepharitis were also reported. Other minor events reported included conjunctival hyperemia for 25 patients (48%), 9 in group 1 and 16 in group 2; transient anterior chamber uveal reaction in 13 patients, 6 in group 1 and 7 in group 2; and transient corneal edema for 4 patients (7.7%), 2 in group 1 and 2 in group 2.

Only one patient belonging to group 2 presented transitory postoperative hypotony (IOP = 4 mm Hg), associated with choroidal detachment. Antiglaucoma drugs were tapered off, additional topical steroid treatment was given, and the event resolved within 30 days.

Lastly, 12 patients required a secondary glaucoma surgical intervention for insufficient response of HIFU treatment, 9 with trabeculectomy, 2 with diode laser cyclodestruction, and 1 with Ahmed valve surgery. These treatments occurred between 6 and 12 months after the HIFU procedure. The study protocol design stated that if an additional treatment such as filtering surgery or cyclodestruction (CPC laser or cryotherapy) was administered, the patient would be considered as having failed the HIFU treatment (failure) and would be withdrawn from the study. Thus, efficacy data (IOP values) were not collected and integrated into the results for the patients withdrawn from the study after undergoing filtering surgery or CPC treatment by diode laser so as not to bias the results. This treatment was performed in 12 patients before the protocol visit planned twelve months after the procedure (four patients in group 1 and eight patients in group 2).

There was one report of transient macular edema in a group 2 patient, appearing 1 month after the HIFU procedure, resolving after 1 month under topical nonsteroidal anti-inflammatory agents with no impact on visual acuity. There was no report of treatment-induced cataract.

## Visual Outcomes

Mean visual acuity remained statistically unchanged (BCVA logMAR of 0.98 ± 1.20 and 1.09 ± 1.18 before and at last follow-up in group 1, respectively; and 0.94 ± 1.18 and 1.24 ± 1.36 before and at last follow-up in group 2, respectively).

Six patients presented with a loss of BCVA of more than two lines: three in group 1 (3/24, 12.5%) and three in group 2 (3/28, 10.7%).

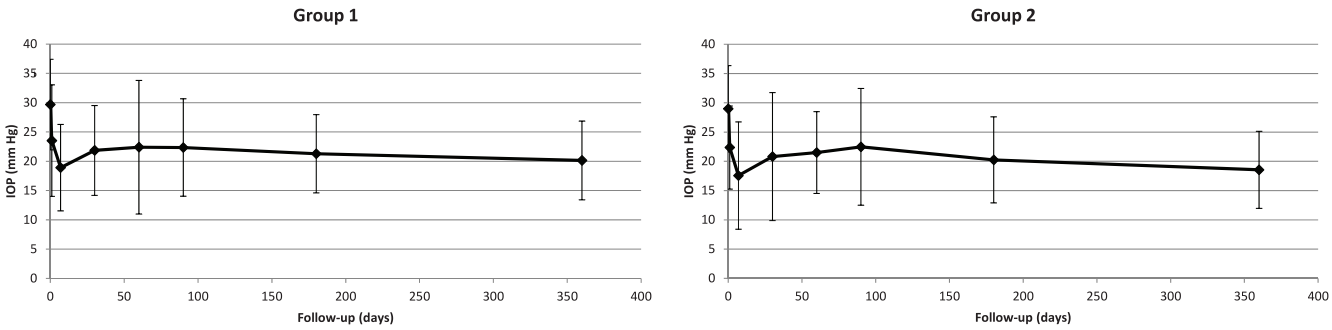



**Figure 3.** Mean IOP per group for the 12 months after HIFU treatment.

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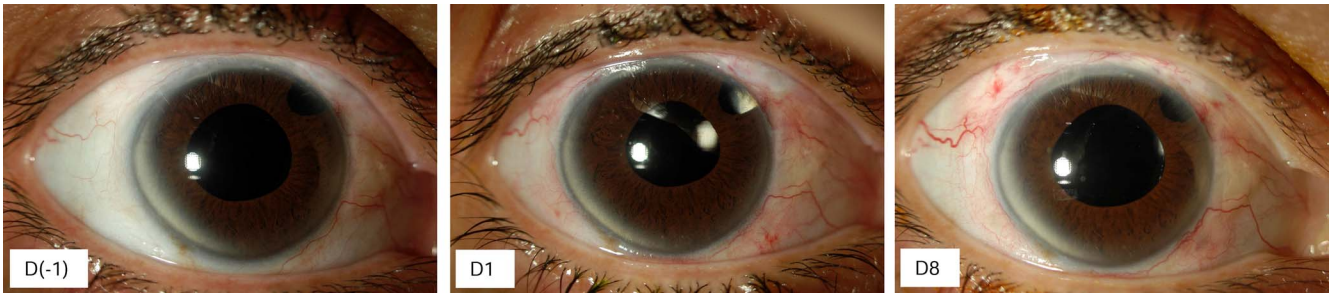



FIGURE 4. Ocular tolerance: Slit-lamp photographs taken preoperatively on the day before (*left*), 1 day after (*middle*), and 8 days after the procedure (*right*) (4-second HIFU treatment).

In group 1, loss of BCVA in two patients was deemed unrelated to the study treatment (one case of cataract worsening after vitrectomy for retinal detachment and one case of central venous occlusion at 12 months).

In group 2, one patient who experienced a loss of BCVA underwent corneal decompensation of his corneal graft and one patient presented reactivation of toxoplasmosis choroiditis 1 month after the procedure.

## DISCUSSION

Two recent previous studies[17,19] have been conducted with this new device for ultrasound circular cyclocoagulation. The initial pilot study examined patients with refractory primary or secondary glaucoma (at least one previous incisional glaucoma surgery) and limited residual visual acuity and visual field (BCVA $<$ 20/60; visual field defect located in the paracentral region).[17] The patients included have POAG, neovascular glaucoma, congenital glaucoma, primary angle-closure glaucoma, and iridocorneal endothelial glaucoma. Intraocular pressure is reduced from a mean preoperative value of 37.9 $\pm$ 10.7 mm Hg to a mean postoperative value of 26.3 $\pm$ 5.1 mm Hg at 6 months and 24.7 $\pm$ 8.5 mm Hg at the last follow-up visit. A 33.9% IOP reduction has been obtained at the last follow-up visit. Surgical success (defined by IOP reduction $\geq$ 20% and IOP $>$ 5 mm Hg) has been obtained in 10 of 12 patients (83.3%) at the last visit. The second study was conducted in 28 patients with POAG and much less advanced disease.[19] Intraocular pressure is reduced from a mean preoperative value of 29.0 $\pm$ 7.2 mm Hg to a mean value of 21.6 $\pm$ 9.4 mm Hg at last follow-up ($n$ = 1.29 procedures) (mean IOP reduction, 26%). Complete success (IOP reduction $>$ 20% without reintervention and without additional hypotensive medications) has been achieved in 50% of eyes at the last follow-up (mean IOP reduction 45% in these eyes) and qualified success (IOP reduction $>$ 20% and IOP $>$ 5 mm Hg with possible reinterventions) has been achieved in 68% of eyes at the last follow-up.

The present study was designed and conducted to evaluate the efficacy and safety of ultrasonic circular cyclocoagulation in patients with both primary and secondary glaucoma and with two different exposure times in a larger multicenter clinical trial.

Fifty-two patients with POAG or secondary glaucoma were enrolled and followed up during at least 12 months. In the 4-second exposure time group, IOP was reduced from a mean preoperative value of 29.7 $\pm$ 7.7 mm Hg to a mean value of 20.1 $\pm$ 6.7 mm Hg and success (IOP reduction $>$ 20% and IOP $>$ 5 mm Hg with possible retreatment) was achieved in 57.1% of eyes at 1 year of follow-up. In the subgroup of POAG patients, IOP was reduced from a mean preoperative value of 28.0 $\pm$ 5.0 mm Hg to a mean value of 19.0 $\pm$ 5.6 mm Hg and success was achieved in 78.6% of eyes at 1 year of follow-up. In the 6-second exposure time group, IOP was reduced from a mean preoperative value of 29.0 $\pm$ 7.4 mm Hg to a mean value of 18.5 $\pm$ 6.6 mm Hg and success (IOP reduction $>$ 20% and IOP $>$ 5 mm Hg with possible retreatment) was achieved in 48% of eyes at 1 year of follow-up. In the subgroup of patients with POAG, IOP was reduced from a mean preoperative value of 28.7 $\pm$ 6.8 mm Hg to a mean value of 19.0 $\pm$ 6.0 mm Hg and success was achieved in 45% of eyes at 1 year of follow-up.

We found lower efficacy in patients with secondary glaucoma. One explanation could be that the amount of ciliary body tissue coagulated and the related decrease in aqueous humor production was sometimes insufficient, particularly in patients with secondary glaucoma. Since patients with secondary glaucoma could have a lower trabecular meshwork outflow of aqueous humor, a similar decrease in aqueous production could have a lesser effect on IOP. Some patients with an IOP response insufficient to reach the target IOP were re-treated. The six transducers were activated and the probe was rotated with the intention of targeting different areas of the ciliary body. The diameter of the probe was generally changed for the second treatment ($n$ = 3/8 eyes re-treated without diameter change, 4/8 increased, 1/8 decreased). Intraocular pressure decreased significantly for three eyes after retreatment. This finding could corroborate the hypothesis that the amount of ciliary body tissue treated during the first procedure may be insufficient.

Animal studies conducted with the device have shown circumferentially distributed coagulation necrosis of the ciliary processes and ciliary body, particularly with a complete loss of the ciliary epithelium, likely resulting in reduced aqueous production. However, UBM examinations performed before and after the treatment in the first clinical studies have also shown hyporeflective suprachoroidal fluid spaces in patients having significantly lower IOP than those without visible suprachoroidal space, suggesting that ultrasound cyclocoagulation could also increase the uveoscleral outflow through the supraciliary and suprachoroidal space. The amount of ciliary body tissue destroyed, and therefore probably the reduction of aqueous production, increases with increasing dose. In contrast, one possibility would be that the relationship between the dose and the effect on the uveoscleral pathway may not be linear. We can hypothesize that exposure to a small dose of ultrasound energy leads to tissue retraction or tissue microarchitecture changes increasing the permeability to aqueous humor, whereas greater exposure to ultrasound energy coagulates the uveoscleral tract and decreases the related aqueous outflow. A more definitive answer would require in vivo fluorophotometric and tonographic quantification of the aqueous inflow and outflow with various exposure times.

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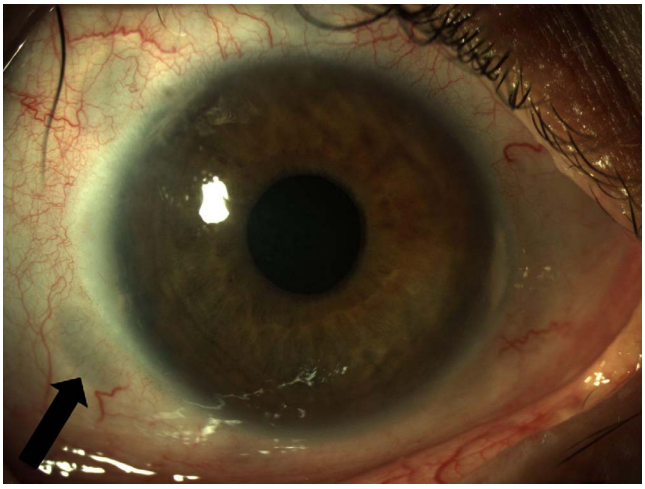


**Figure 5.** Image showing localized and nontransilluminable scleral thinning after ultrasound circular cyclo-coagulation (UC3) cyclophotocoagulation. Note that the regions appear to be well delineated, without any signs of conjunctival inflammation, induced corneal astigmatism, or scleral protrusion. *Black arrow* indicates area on 7-o'clock meridian treated.

The tolerability of HIFU cyclocoagulation was good in the present study, as reported in the two previous studies, with no IOP spikes or major IOP increases during the early follow-up and over the long term no cases of severe hypotony or phthisis, which are some of the most serious adverse effects of the currently available cyclodestructive methods. Clinical examinations showed little or no signs of intraocular inflammation (Figs. 4, 5) and visual acuity remained statistically unchanged in most of the patients.

## Conclusions

In summary, the present multicenter study showed that ultrasonic coagulation of the ciliary body, using high-intensity focused ultrasound, is effective in decreasing IOP, particularly in patients with POAG. A substantial increase in ultrasound exposure time does not seem to increase the rate of responders and the global efficacy of the technique.

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