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Secondary findings from whole-exome/genome sequencing evaluating stakeholder perspectives. A review of the literature

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ABSTRACT

With the development of next generation sequencing, beyond identifying the cause of manifestations that justified prescription of the test, other information with potential interest for patients and their families, defined as secondary findings (SF), can be provided once patients have given informed consent, in particular when therapeutic and preventive options are available. The disclosure of such findings has caused much debate. The aim of this work was to summarize all opinion-based studies focusing on SF, so as to shed light on the concerns that this question generate.

A review of the literature was performed, focusing on all PubMed articles reporting qualitative, quantitative or mixed studies that interviewed healthcare providers, participants, or society regarding this subject. The methodology was carefully analysed, in particular whether or not studies made the distinction between actionable and non-actionable SF, in a clinical or research context.

From 2010 to 2016, 39 articles were compiled. A total of 14,868 people were interviewed (1,259 participants, 6,104 healthcare providers, 7,505 representatives of society). When actionable and non-actionable SF were distinguished (20 articles), 92% of respondents were keen to have results regarding actionable SF (participants: 88%, healthcare providers: 86%, society: 97%), against 70% (participants: 83%, healthcare providers: 62%, society: 73%) for non-actionable SF. These percentages were slightly lower in the specific situation of children probands.

For respondents, the notion of the «patient's choice» is crucial. For healthcare providers, the importance of defining policies for SF among diagnostic lab, learning societies and/or countries is outlined, in particular regarding the content and extension of the list of actionable genes to propose, the modalities of information, and the access to information about adult-onset diseases in minors.

However, the existing literature should be taken with caution, since most articles lack a clear definition of SF and actionability, and referred to hypothetical scenarios with limited information to respondents. Studies conducted by multidisciplinary teams involving patients with access to results are sadly lacking, in particular in the medium term after the results have been given. Such studies would feed the debate and make it possible to measure the impact of such findings and their benefit-risk ratio.

KEYWORDS

Secondary findings, Actionability, Opinion based studies, Literature Review.

INTRODUCTION

Recent technical progress led to development of genomic medicine, which now makes it possible to sequence the genome of individuals at a reasonable cost and in a time compatible with care. This development was associated with an increase in knowledge concerning the medical significance of the variants identified. Since 2009, next generation sequencing (NGS) has become an innovative technology to analyse the DNA of an individual's genome (or its coding regions, the exome, which corresponds to 1.5% of the entire genome). NGS, and in particular, sequencing of the whole exome (WES), is now widely used in research and has led to significant advances in our understanding of the molecular bases of Mendelian diseases, which could not be determined using classical approaches. Thus, genomic medicine is based on the analysis and interpretation of genomic information in a medical context, but major obstacles need to be overcome before its full implementation.

The very recent implementation of WES for rare developmental diseases, which are particularly heterogeneous, has led to a diagnostic yield greater than 25%, making it by far the most efficient individual diagnostic test for such conditions, in particular in the absence of a precise clinical diagnosis [De Ligt et al., 2012; Retterer et al., 2016; Yang et al., 2013; Yang et al., 2014]. Proof-of-concept studies suggest that whole genome sequencing (WGS) could increase the diagnostic yield to 60% for rare developmental diseases [Gilissen et al., 2014]. Despite its considerable interest in care, the implementation of WES and WGS varies widely from country to country.

Beyond the identification of pathogenic variants for the diagnosis of rare Mendelian diseases, the sequencing of an individual's genome may lead to the detection of numerous variants unrelated to the primary diagnosis for which NGS was prescribed but may be pertinent in terms of prevention for the patients and/or their families. Indeed, these variants may predispose the individual to diseases with a later onset that could be accessible to prevention or treatment, or they may provide information on the risk of transmitting a serious disease, and could thus be useful for family genetic counselling, or finally, these variants may predispose an individual to toxicity or the absence of efficacy of a given drug, and such knowledge may make it possible to adapt a treatment if necessary. These data can be particularly useful for highly medicated patients with rare diseases, whose families are deeply affected by the disease.

These variants, which correspond to findings not related to the disease for which the test was initially prescribed, were until very recently called incidental findings in the North-American literature [Green et al., 2013]. This term is no longer appropriate in cases of active screening

for variants in certain genes. In the latest recommendations of the American College of Medical Genetics and Genomics (ACMG) and of the European Society of Human Genetics (ESHG), the term was progressively replaced by 'secondary findings' (SF) in an effort to standardise [Kalia et al., 2017; Matthijs et al., 2016].

The ACMG was the first organisation to define and propose an initial minimal list of 56 genes that were considered medically «actionable». Among these, pathogenic variants or potentially pathogenic variants must be reported by all clinical laboratories that propose WES and WGS [Green et al., 2013], unless the patient has previously refused to have access to this information_in the informed consent process (American College of Medical Genetics and Genomics 2014). In 2016, this list, which is intended to be regularly revised, was extended to 59 genes [Kalia et al., 2017]. An "actionable" gene is defined as a gene which, in the case of a pathogenic or potentially pathogenic mutation, will increase the risk of developing a genetic disease accessible to prevention or treatment, especially if the treatment is more effective if given early. Such interventions would thus improve the prognosis in terms of mortality or morbidity [Green et al., 2013]. These are essentially genes with pathogenic or probably pathogenic variants that predispose individuals to hereditary heart diseases or cancers. Certain laboratories propose to screen for a more complete list of genes with actionable variants [Amendola et al., 2015; Dorschner et al., 2013], including genes in which pathogenic or probably pathogenic mutations have been identified in roughly 2% of patients. A few publications have proposed methods to evaluate the actionable nature of a gene, so as to complete the list of genes to target in the future depending on progress in our knowledge [Berg et al 2016; Hunter et al., 2016]. The implications in terms of healthcare organisation have been little discussed [Bennette et al., 2015], but it is clear that interpreting such findings will increase the workload of healthcare professionals as much for the clinicians as for the biologists. In term of patient management, we should highlight the risk of utilizing unnecessary health care that may not be needed, because of reduced penetrance, and that could be more harmful than beneficial.

The analysis of these variants, if requested by the patient or the patient's guardian, could become the first step in genomic medicine [Bowdin et al 2016; Manolio et al., 2015]. However, the interpretation of such variants, which are not related to the primary cause of the disease, will require additional investment from healthcare professionals, notably in terms of time. Although benefits can be derived in terms of prevention, it is a major issue to take into account the point of view of patients and more widely that of society at large. This article thus investigates the point of view of patients, of healthcare professionals and of society via a

review of the literature on SF. We have synthesized the principal studies focusing on the return of SF, so as to shed light on the concerns that this question generates.

METHODS

The aim of this study was to synthesize all relevant studies focusing on the return of SF published in referenced scientific journals, so as to determine the principal expectations and concerns of patients, research participants, healthcare professionals and the public with regard to this issue. In this study, we generally refer to "participants" instead of "patients" because respondents in a number of these studies were not patients but research participants. To this end, in October 2016, we used the PubMed search tool with the following key words: "Incidental findings", "Secondary findings", "Ancillary findings", "Unsolicited findings", "Opportunistic findings", or "Accessory findings". These could be accompanied by accessory key words, such as: «Geneticist»; «Professional»; «Society»; «Patient». The selection was limited to articles available in English or in French, to articles interviewing more than five people, and to articles that focused on the subject of secondary findings.

We chose to separate the articles into three principal categories depending on the population involved: i) participants, ii) healthcare professionals, iii) society. It is commonly admitted that point of views differs between patients/participants and healthcare professionals. We also decided to separate participant which could be directly concerned by WES/WGS and society (representing people with no direct concern in WES/WGS for health purposes) as their emotional involvement, their knowledge and personal expectations may alter their vision of WES/WGS. We then analysed each category with regard to the methodology: quantitative, qualitative, or mixed.

With the aim to make comparisons, we distinguished between actionable and non-actionable SF. For some articles, ACMG definitions was explicitly used, others simply used examples this highlight this difference, as stated in table 2. Qualitative and quantitative data were analysed separately (*Table 2*). Only quantitative data from quantitative or mixed article were used to calculate percentages. Qualitative studies were used as references to emphasize or counterbalance our results. In order to facilitate the reading and understanding, we decided to add to each reference in the results section their corresponding methodology: "Ql" for qualitative, "Qt" quantitative and "M" for mixed studies. As some articles focuses on different

populations (participants/healthcare providers/society), they can use different methodology on each population. Therefore, the same reference can be classified in a different section of table 2 depending on the population of interest. Furthermore, some of the studies involved opinions regarding the return of results of SF in a research context, whereas others focused on the return of results in a diagnostic context (*Table 1 and 2*).

RESULTS

General data (Figure 1 and 2)

Thirty-nine articles were selected according to the criteria mentioned above (*Table 1 a, b, c*). The oldest article was published in 2010 [Meacham et al., 2010 (Ql)] (*Figure 1a*). Thirty-three articles were form North America, three were from Europe, and three were from Australia (*Figure 1b*).

Initially, we focused on the interpretation of the results (*Figure 2*), as it is now clear that it is essential to distinguish between opinions on the actionable or non-actionable nature of the SF. Nonetheless, as half of the articles made no difference between actionable and non-actionable SF, the results below must be interpreted with caution. Among the 39 articles, 20 mentioned this difference, and half of them were referring to a research context whereas the other half were referring to a diagnosis context. Eleven of these referred to the ACMG list for actionability, and the other nine made use of precise examples. In these 20 articles, 92% of the persons interviewed were in favour of conveying the results for actionable SF, and 70% were in favour for non-actionable SF (*Table 2*). This percentage was slightly lower when respondents were questioned about the specific case of children. In this case, 84% of persons interviewed were in favour of disclosing actionable SF to children or to their legal guardians, and 68% for non-actionable SF. The percentages were lower in a diagnostic context than in a research context (*Table 2*).

Studies concerning patients/participants (Table 1a)

Thirteen articles were identified, including five quantitative studies, four qualitative studies and four mixed studies (*Table 1a*). Altogether, there were 1259 participants in these studies (1010 in the quantitative studies, 85 in the qualitative studies, and the remaining 164 in the mixed studies) (*Figure 2*); 1097 index cases were adults and 162 were children. Five of the 13 studies focused on participants with cancer, for a total of 438 participants.

Concerning the quantitative [Fernandez et al., 2014; Fernandez et al., 2015; Gray et al., 2016; Jelsig et al., 2015; Shahmirzadi et al., 2014] and mixed studies [Appelbaum et al., 2014; Gray et al., 2012; Kaphingst et al., 2016; Oberg et al., 2015], the majority of participants wished to know the SF found in the genetic investigations. When the notion of actionability was mentioned, this percentage was 88% if the SF were actionable and 83% if they were not actionable. In a diagnosis context, only one article [Shahmirzadi et al., 2013 (Qt)] focuses on the opinion of participant with 94% of them in favour of the disclosure of actionable secondary findings. In a research context, 87% of the participants were in favour of the disclosure of actionable secondary findings and 83% for non-actionable ones.

Following two studies in oncology conducted by Gray [Gray et al., 2012 (M); Gray et al., 2016 (Qt)], the strong desire of participants to learn about any type of SF showed the importance of providing high-quality information to patients to help them choose the type of secondary findings they wished to know about. A study conducted by Shahmirzadi and coll. in 2013 [Shahmirzadi et al., 2013 (Qt)] evaluated the choice of having access to SF in the first 200 patients referred to Ambry Genetics for WES following a consultation with a genetic counsellor during which information was given (162 parents of affected children and 38 adult patients). The persons whose choice were retrospectively analysed, could choose to receive the results for pathogenic or probably pathogenic variants in four categories of SF: healthy carrier of a recessive disease; predisposition to actionable later-onset diseases; actionable predisposition to cancers; predisposition to actionable childhood-onset diseases. The parents of affected children were more likely to choose to know the SF for their children (96%) than were adult patients for SF for themselves (84%). In 2015, Fernandez and coll. [Fernadez et al., 2015 (Qt)] re-surveyed 154 parents of children with rare diseases after a first survey 18 months earlier [Fernadez et al., 2014 (Qt)], and showed strong stability in their responses. Altogether, 85% of the parents believed that they should be given the choice to have access to SF whether actionable or not. Only 74% believed that these results should be shared with atrisk relatives. The qualitative data (Table 1a) showed that the participants wanted to be involved in the choice of variants to be disclosed. They were afraid, however, that these results would cause anxiety [Oberg et al., 2015 (M)]. In a study in 37 persons who underwent WES for a rare disease or cancer, Clift and coll. [Clift et al., 2015 (Ql)] showed that patients had great expectations with regard to these SF. The patients were interviewed on four occasions: before the first consultation with a genetic counsellor, after the first consultation with a genetic counsellor, while they were waiting for the results, and after the results had been given. One person justified the choice of knowing the results, including for nonactionable SF, by saying that he wanted to be sure that he wouldn't be a burden for his loved ones. Others said that it would let them contribute to research to help find a preventive or curative treatment for these diseases. The study of Sapp and coll. in 2014 [Sapp et al., 2014 (Ql)] in 25 parents of 13 children with various genetic diseases showed that 60% of parents did not want to receive the results for non-actionable SF. They said they needed more time to think about it and were concerned about the uncertainty and the worry caused by these disclosures. The question of research on the carrier status in recessive diseases was also raised, and 56% of parents preferred to leave the choice of knowing these results to their children once they became adults. In 2016, Kaphingst and coll [Kaphingst et al., 2016 (M)] conducted 60 semi-structured interviewed with young women diagnosed with breast cancer. All women were interested in receiving actionable secondary findings, also comprising pharmacogenetic variants.

Studies concerning healthcare professionals (Table 1b)

Twenty-two articles focused on the point of view of healthcare professionals (*Table 1b*), eight quantitative, eight qualitative and six mixed studies. When the number was given, a total of 6104 medical geneticists, oncologists, paediatricians, genetic counsellors, genetic researchers, reviewers and other specialists were interviewed. Of these, 3031 took part in a quantitative study, 230 in a qualitative study and 2843 in a mixed study (*Figure 2*). When asked about their opinion on the disclosure of actionable secondary findings, healthcare providers where in favor of their return 86% of the time. Percentages were comparable in a diagnosis context (86%) and in a research context (91%). In the case of non-actionable secondary findings, 62% were in favour of their disclosure in a diagnosis context, but they were slightly less favourable for their disclosure in a research context (55%).

The article by Gliwa and coll. [Gliwa et al., 2016 (Qt)] focused on non-geneticist reviewers, and 74% of respondents said they had had some experience with SF in genetics, but only 47% felt ready to disclose such findings to patients. Gray and coll. [Gray et al., 2016 (M)] focused on the point of view of oncologists, among whom 67% were not in favour of restricting the results given to patients to actionable genes only; 52% believed that patients should be given all of the results they wanted, even raw sequencing data. In this respect, Hitch and coll. [Hitch et al., 2014 (Ql)] reported that «compared with the cancer, negative information was surmountable». The study of Strong and coll. [Strong et al., 2014 (M)] included 258 paediatricians. Among these, 91% believed that actionable SF for adult-onset diseases should be given to families if they so wished and 96% believed so for actionable SF for childhood-

onset diseases, compared with 47% for non-actionable SF. Concerning medical geneticists, overall, 95% were prepared to disclose medically actionable SF [Lohn et al., 2013 (Qt)]. In the article of Yu and coll. [Yu et al., 2014 (Qt)] in which 760 American geneticists were surveyed, 85% believed that SF in ACMG genes should be given to adult patients and 74% were of this opinion for parents of affected children. Sixty-two per cent believed that SF should be given to the parents of affected children with adult-onset diseases or carrier status (62%), and 81% believed that the preferences of patients should guide the practitioner in the choice of variants to disclose. In 2016, Middleton and coll. [Middleton et al., 2016 (M)] surveyed 533 medical geneticists, 843 non-genetic healthcare professionals and 607 genomic researchers. Ninety-two per cent of geneticists and 94% of non-geneticist healthcare professionals and genomic researchers believed that pertinent SF from sequencing should be returned to patients if they so wished. In this article, the authors distinguished between a prescription for NGS for diagnostic purposes and for research. Only 34% maintained their position if the search for these SF could compromise the ability of researchers to analyse the primary data, in particular because of the additional workload due to the analysis of secondary findings.

Several articles converged regarding the difficulties related to the absence of national guidelines, which should remain flexible enough to be adapted to individual situations, to the evolution of knowledge, and to the choice of patients [Downing et al., 2013 (Ql); Keogh et al., 2014 (Ql); Simon et al., 2011 (Ql); Yu et al., 2014 (Qt)]. Scheuner and coll [Scheuner et al., 2014 (Qt)] identified four factors that explained the variance of opinion between healthcare professionals in their decision to disclose or not SF: the clinical and laboratory best practices, patients' preferences, the guidance for practice and the informed consent. This raises the issue of dynamic consent [Keogh et al., 2014 (Ql)]. Grove and coll [Grove et al., 2014 (Ql)] spoke of it as an iterative and flexible process with unlocking results to "take into account not only the changing interpretations of the genome, but also the changing values and contexts of patients over time".

Only three articles dealt specifically with the question of pharmacogenetics [Gray et al., 2016 (M); Grove et al., 2014 (Ql); Klitzman et al., 2013 (M)] (*Table 1b*).

Studies concerning society at large (Table 1c)

Nine articles were identified [Christenhusz et al., 2015 (Ql); Daack-Hirsch et al., 2013 (Ql); Flemming et al., 2015 (Qt); Haga et al., 2012 (Ql); Hufnagel et al., 2016 (Qt); Middleton et

al., 2016 (Qt); Regier et al., 2015 (Qt); Strong et al., 2014 (M); Townsend et al., 2012 (Ql)], in which 7505 people were recruited. The vast majority were recruited in four quantitative studies (7243 respondents), 165 took part in four qualitative studies and 97 in a study employing a mixed methodology. Four articles made the distinction between actionable secondary findings and non-actionable ones. Half of them referred to a diagnosis context [Hufnagel et al., 2016 (Qt); Strong et al., 2014 (M)] and the other half to a research context [Fleming et al., 2015 (Qt); Middleton et al., 2016 (Qt)] (*Figure 1c*).

In their study, Middleton and coll. [Middleton et al., 2016 (Qt)] surveyed 4961 persons representing the public, of whom 83% had never had any contact with genetics (79% lived in Ireland or the United Kingdom). In this study, surveyed participants were asked to put themselves in an hypothetical scenario of a patient who has been given a prescription for WES. It showed that 98% of participants wished to know about actionable SF and 73% also wanted the return of non-actionable SF. In the study by Fleming and coll. [Flemming et al., 2015 (Qt)] involving 800 Australian adults, 94% wanted the return of information defined as important for their health or for the treatment of their hypothetical disease; 84% wanted information on their risk of being a healthy carrier of a hereditary genetic disease; 70% wanted to receive «every type of SF (including non-actionable SF) ». Hufnagel and coll [Hufnagel et al., 2016 (Qt)] surveyed 282 students with scientific training aged for the most part between 12 and 18 years old. Of these, 83% wanted access to adult-onset SF that were not actionable during childhood; 72% wanted to be involved in the decision-making process; 73% thought that parents of a child less than 12 years old should have access to this information at the same time as their child. Different articles converged with regard to leaving the choice to the patient [Daack-Hirsch et al., 2013 (Ql); Strong et al., 2014 (M); Townsend et al., 2012 (Ql)].

DISCUSSION

This review of the literature with the main opinion-based articles on SF showed that the vast majority of participants and society at large were in favour of returning actionable SF, and that the majority of doctors were prepared to screen for and disclose these actionable SF to their patients. However, the results of the studies must be interpreted with caution, since most articles referred to hypothetical scenarios. Hypothetical situations are a notoriously flawed way to predict ultimate behaviour. It is worth noting that principally because of the anxiogenic nature of these results, a small percentage of those interviewed did not wish to

know about these SF [Oberg et al., 2015, *Table 2*]. In this context, it is necessary to explore the preferences of the patients and to obtain their consent. To this end, the search for SF must be explained clearly to patients to ensure that they have understood what SF are and what the implications and repercussions of finding them could be [Hehir-Kwa et al., 2015]. Depending on the analysis circuit, it could take a long time to obtain the results for WES and WGS, which gives time to patients to change their mind. The solution proposed would be to develop computerised «dynamic consent» [Kaye et al., 2015], which can allow consent preferences to 'travel' with the patient. This, however, would be difficult to implement and could exclude persons in precarious situations. An alternative would be deferred consent for SF [Oberg et al., 2015]. When participants were interviewed about the content of this consent, they principally expected detailed consent forms indicating the possibilities of possible prevention for certain variants, the fact that there may be errors in the interpretation of certain variants, the possibility that certain results could have a negative psychological impact, the possibility that results could evolve with advances in scientific knowledge, and finally the possibility of limiting the adverse effects of certain drugs if pharmacogenetic variants are searched [Appelbaum et al., 2014].

It is important to note that managing these SF is time-consuming, in terms of both clinical time, as it takes additional time to explain SF during consultations with patients, and time for interpreting the results. Participants would like consultations to last as long as necessary, up to 2h, while geneticists consider that no more than 30 min should be spent on this pre-test phase (77%) [Appelbaum et al., 2014].

In parallel of our initiative, another group reviewed the literature relating on SF but focused more on qualitative studies [Mackley et al., 2017]. Our methodology also focused on quantitative studies that permitted to collect high numbers of opinions, in parallel of qualitative studies. The limits of this review of the literature are principally related to the heterogeneity of the articles studied. Indeed, they were conducted on different populations, in different countries with different legislation on patients' rights and different cultures, and proposed different methodologies that cannot be combined. While the total numbers of people concerned with these articles appear impressive (around 15,000), it turns out that only slightly more than 1200 people were offered and chose to learn such findings, while the others were confronted to hypothetical situations. Some of the studies collected opinions regarding SF in a research context, whereas others focused on SF in a diagnostic context, with different obligations for researchers and clinicians. When analysing in subgroups, the number of articles/respondants diminished. Methodological rigour varied and this aspect was difficult to

take into account in compiling and comparing results. The quality and the way information was delivered also differed as it was given by medical geneticists, specialist doctors or genetic counsellors or in some cases by an information sheet or even a brief explanation by telephone. One of the major concerns among scholars on this issue is whether patient/participant/public responses to the question of the return of secondary findings were well-informed, i.e., if respondents are taking into account the uncertain risk of developing the disease and limited available actions related to many of the findings that they say they want. The quote from an article by Cliff and coll. [Cliff et al., 2015], that "one person justified the choice of knowing the results, including for non-actionable SF, by saying that he wanted to be sure that he wouldn't be a burden for his loved ones" is indicative of the inflated perception of clinical utility that people assume secondary findings possess, probably leading to their broad acceptance. Results may also differ depending on the study populations, in patients with rare diseases or cancer, interviewing adult patients, children or parents of children, certain articles making no distinction between the three. Patients with cancer are expected to have different opinions about the value of additional information as compared to people with long standing chronic diseases or parents of children with rare diseases. The information provided to society at large is usually more succinct than that given to participants, who are often better informed about genetic aspects because of their disease. It seems also essential to distinguish between guidelines for tests in children and those in adults, even though the differences between the studies were not significant. The only study involving surveys with teenagers showed that 83% of those surveyed wanted to receive non-actionable SF, and 72% believed that the decision on whether to receive SF should be shared between parents and children [Hufnagel et al., 2016]. In another study, Sapp and coll. 2014 [Sapp et al., 2014] showed that 56% of parents of children with various genetic diseases would prefer to leave the choice to their child once he/she had reached adulthood, in order to preserve their independence and freedom. The question of access to results for late-onset diseases in children is not resolved, and contrasts with the absence of any possibility to obtain a pre-symptomatic diagnosis in children if there is no direct benefit in childhood. Certain teams proposed getting in touch with the children again when they became adults, but the risk of losing contact in the intervening period is huge. When parents also undergo sequencing as part of the diagnostic investigations, the question of informing only the transmitting parent appears to be a good alternative to avoid lost opportunities for relatives.

In general, a clear definition of SF and the concept of actionability was lacking. This review of the literature showed that it is essential to distinguish between actionable and nonactionable genes when discussing SF. The notion of actionability, however, is sometimes vague as it can sometimes refer to actionability for patients themselves, and in other circumstances, the concept is widened to genetic counselling for the family. Unfortunately, half of the articles made no difference between actionable and non-actionable secondary findings. All articles written prior to 2013 could not make use of the ACMG definition of actionability [Green et al., 2013], and thus most of the time were based on examples. The percentage of healthcare professionals prepared to return non-actionable SF needs to be interpreted with care, as, in general, the positive responses only reflect the fact that they found the minimal list of actionable genes too restrictive. In 2013, Dorschner and coll. [Dorschner et al., 2013] increased the list of ACMG genes to return by 62 additional actionable genes. Other lists have also been proposed in the literature. These lists are reported as non-exhaustive, containing a minimal number of genes that can be revised by laboratories. Certain authors proposed to screen for pharmacogenetic variants that may be relevant in chronic diseases subject to more medical prescriptions; or to search for heterozygosity in the most frequent rare recessive diseases, which may be relevant in terms of information for parenthood projects, in families already highly affected by the disease and the resulting handicap. It is worth noting that one study investigated the perception of pharmacogenetic data. It also appeared that doctors were not particularly inclined to use these data: 52% of the doctors surveyed did not think they would use pharmacogenetic data in current practice if such data were available [St Sauver et al., 2016].

Globally, based to the literature, a consensus came to light regarding the return of SF when patients requested them in teams where clinicians and biologists have validated a procedure to allow such disclosures. However, the existing literature on SF should be taken with caution, since most articles lack a clear definition of SF and the concept of actionability, focused on different populations of respondents, propose different methodologies that cannot be combined, and above all, referred to hypothetical scenarios. Studies conducted by multidisciplinary teams involving patients with access to results are sadly lacking in particular in the medium term after the results have been given. Such studies would feed the debate and make it possible to measure the impact of such findings and their benefit-risk ratio.

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LEGENDS

Figure 1: Data describing the demographic and geographical characteristics of the articles a - Number of articles per year of publication and by type of study.

b - Distribution of articles by continent.

Figure 2: Number of subjects according to the type of study and to the methodology

Table 1: Studies of opinions centred on patients, healthcare professionals and society, classified according to their methodology: quantitative, qualitative or mixed.

Table 2: Synthesis of percentages of favourable opinions for the overall return of SF according to their actionability and the diagnosis/research criterion, when the article gave such information.

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Figure 1a

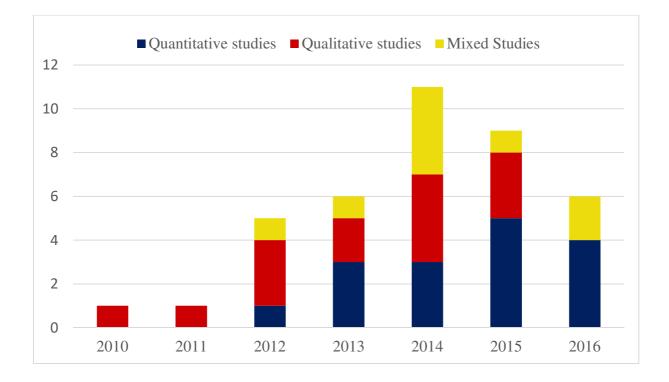
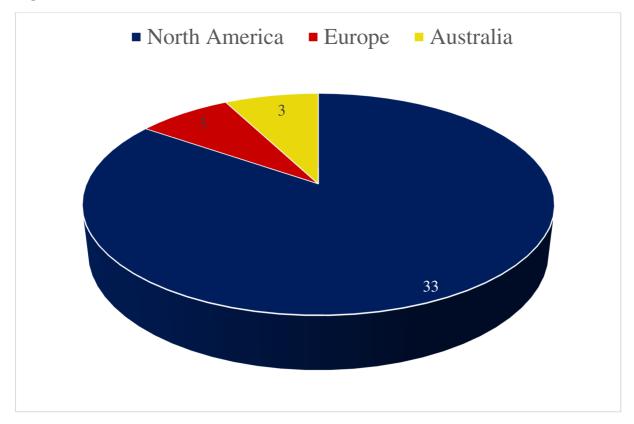
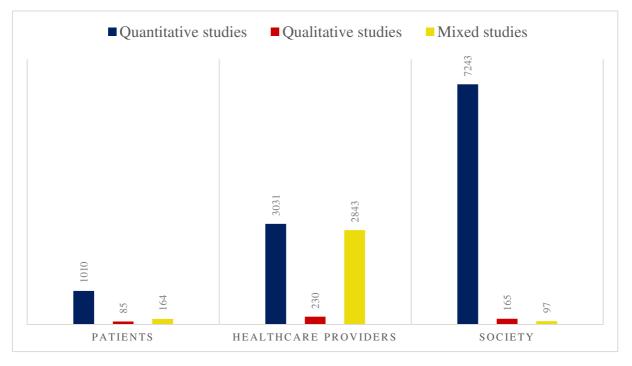


Figure 1b







Author / Year	Title	Number of subjects studied/Method	Main result
of publication			
		Quantitative n	nethodology
Shahmirzadi et	Patient decisions for disclosure	Exome sequencing: diagnosis	94% of patients chose to receive information on actionable secondary findings proposed (92% for carrier
al., 2013	of secondary findings among the	200 retrospective analyses of the choices of patients	status in recessive diseases, 89% for the actionable genes with a predisposition for cancer, 92% for genes
	first 200 individuals undergoing	for the return of secondary findings classified in 4	with an actionable predisposition for adult-onset diseases, 95% for genes with an actionable
	clinical diagnostic exome	categories, 162 parents of affected children (mean	predisposition to early-onset diseases).
	sequencing	age 5 years), 38 affected adults (mean age 38 years).	Parents of affected children were more likely to want to receive secondary findings for their child (96%,
		All types of genetic diseases	155/162) than were affected adults for themselves (32/38, 84%)
Fernandez et	Attitudes of parents toward the	Exome sequencing: research	86% wished to receive results for secondary findings, with no difference between patients with cancer
al., 2014	return of targeted and incidental	362 respondents, 86 parents of children with cancer,	and those with rare diseases, and 92% wanted the results to include predispositions to child-onset diseases
	genomic research findings in	276 parents of children presenting rare diseases	(97% if there was possible treatment or prevention, 83% otherwise). 83% wanted to be informed about
	children	87% of parents interviewed were more than 35 years	secondary findings even if they concerned untreatable and fatal diseases; 70% wanted to be informed for
		old	variants of unknown significance. 93% of those interviewed believed that their at-risk relatives should be
		36-item questionnaire	informed about their risk in cases of a serious treatable disease, and 88% in cases of an untreatable disease.
			74% believed that the results returned after the death of a patient should be disclosed.
Fernandez et	Stability of Attitudes to the	Exome sequencing: research	The responses were stable over time, 85% declared that they had the right to receive secondary findings
al., 2015	Ethical Issues Raised by the	154 parents of children with rare diseases already	discovered through research even for predispositions for incurable diseases. 74% believed that the results
	Return of Incidental Genomic	interviewed 18 months earlier	must be shared with at-risk members of the family.
	Research Findings in Children: A	55.7% were more than 50 years old	
	Follow-Up Study	Survey then 19-item questionnaire	
Jelsig et al.,	Research participants in NGS	127 participants in a study proposing WES for	Participants were given three choices:
2015	studies want to know about	research in a context of gastrointestinal polyps	- 61% (78) wanted the disclosure of all incidental findings
	incidental findings		- 36% (45) wanted the disclosure of actionable incidental findings only
			- 3% (4) did not want any incidental findings at all.

Table 1a: Studies of opinions centred on patients and classified according to their methodology: quantitative, qualitative or mixed (quantitative + qualitative).

Gray et al.,	Oncologists' and Cancer Patients'	Exome sequencing: research and transfer	The vast majority of patients (295%) wanted to know actionable secondary findings whether or not the	
2016	Views on Whole-Exome	167 patients presenting stage IV lung or	were related to the disease. 85% of patients also wanted to be informed about predispositions to non-	
	Sequencing and Incidental	colorectal adenocarcinoma	actionable diseases. 99% of the respondents were interested to find out about pharmacogenetic data	
	Findings: Results from The	Mean age 59.8 years	relative to their cancer and 96% wished to know about pharmacogenetic data not related to the cancer.	
	CanSeq	(27 oncologists)		
	Study	Prospective study with surveys		
		Qualitative m	ethodology	
Townsend et	"I Want to Know What's in	Exome sequencing: diagnosis	The authors brought to light 5 themes relative to the return of secondary findings:	
al., 2012	Pandora's Box'':	10 Doctors	- Pre-test information: all of the groups agreed that this step was crucial to give patients an	
	Comparing Stakeholder	8 Patients	informed choice	
	Perspectives on Incidental	10 persons representing society at large.	- The patient's choice: even if the healthcare professionals agreed with the principle of patient's	
	Findings in Clinical Whole	Debates following a guide, led by a moderator.	choice, they insisted on the fact that it was necessary to adapt what the patient wanted to know	
	Genomic Sequencing		to what the patient was able to understand. For patients, their choice should take precedence	
			of that of the healthcare professional.	
			- Responsibility: patients and professionals agreed that it was up to the patient to contact the	
			clinician in cases of technological progress.	
			- The return of secondary findings: the practitioners recognised that the return of these	
			secondary findings took time, and required empathy and expertise in genetics, making it	
			difficult for a non-specialist doctor to return the results. For patients and society at large, the	
			most important was neither experience nor expertise of the doctor, but his/her pedagogy in	
			providing explanations.	
			- The impact and implications of secondary findings: the biggest problem for professionals was	
			the anxiety that disclosure of these findings could cause in patients. For the patients and	
			society at large, this anxiety should not be an obstacle to the return of secondary findings; it	
			was up to the patient alone to choose the data he/she wanted to receive and to judge the impact	
			that they would have on him/her.	

Sapp et al.,	Parental attitudes, values, and	Exome sequencing: research	The majority of parents preferred to receive all types of result. They all wanted to receive the actionable		
2014	beliefs toward the return of	25 parents of 13 child index cases with different	secondary findings to guide the medical management of their child, but also for the benefits that they		
	results from exome sequencing	genetic diseases	themselves could take advantage of. Concerning non-actionable data, 15 parents did not to receive these		
in children		Semi-structured Interviews by telephone.	findings or were reluctant. They expressed a need to think more about this option. The results concerning		
		Mean age 39 years (parents) index cases (7.25	recessive variants were more mixed; 14 parents preferred to leave the choice to their children when they		
		years)	reached adulthood.		
Kleiderman et	Returning incidental findings	Exome sequencing: research	The parents appeared enthusiastic about the idea of receiving secondary findings concerning their		
al., 2014	from genetic research to	15 Parents of children with various rare genetic	children. they underlined, however, 4 important points for them:		
	children: views of parents of	diseases.	The right to information: meaning their right as parents to receive secondary findings concerning their		
	children affected by rare	2 work groups of 3 persons each	children		
	diseases.	9 interviews by telephone	The benefit-risk ratio of knowing these secondary findings: all of the participants thought that knowing		
			these secondary findings would enable them to better plan the care for their child.		
			The way the results should be given:		
			Between the expert and the patient, they asked for true, clear and concise information from a doctor with		
			expertise in genetics.		
			Between them and their family: they agreed that it was up to them to explain the results to their child and		
			the majority thought it was their responsibility to tell their families about these results		
			The provision of « support » to accompany patients during the return of secondary findings: this could		
			be done by genetic counsellors, psychologists, support groups. They recognised that the Internet had		
			provided a lot of information but the majority of parents preferred to get information during consultations		
			with a clinician.		
Clift et al., 2015	Patients' views on incidental	Exome sequencing: diagnosis	The interviews were conducted on 4 different occasions: before the before the first consultation with a		
	findings from clinical exome	37 persons who underwent WES for a rare disease	genetic counsellor, after the first consultation with a genetic counsellor, while waiting for the results, after		
	sequencing	or cancer	their return.		
		55 semi-structured interviews	Diversity of opinions on the types of results to return, but consensus on the importance for the patients of		
			taking part in their choice. The majority thought that knowing the results for the secondary findings would		
			improve their quality of life and allow them to prevent or at least prepare for future diseases.		
Mixed methodology (quantitative + qualitative)					

Gray et al.,	Attitudes of Patients With	Hypothetical situation	81% would have wanted access to actionable SF, only 62% when the benefit was not clearly established.
2012	Cancer About Personalized	69 patients with colorectal, lung or breast cancer.	
	Medicine and Somatic Genetic	Median age 59 years (32-86 years)	
	Testing	Semi-structured interviews and binary-choice	
		questions	
Appelbaum et	Informed Consent for Return of	Exome sequencing: research	The aim of this study was to report the point of view of patients on the consent process and their
al., 2014	Incidental Findings in Genomic	20 patients (mean age 44 years) who had	preferences with regard to the procedure.
	Research	undergone WES participated in the study (semi-	This study identified the principal benefits related to the return of secondary findings. For patients:
		structured interviews).	- 95% (19/20) thought that a predisposition to a treatable disease could be identified
		254 researchers in genetics, 28 genomic researchers	- 95% (19/20) thought that preventive measures could be implemented
		(semi-structured interviews)	- 85% (17/20) thought that genetic counselling could be implemented for the descendants
			- 90% (18/20) thought that the pharmacogenetic variants could make it possible to adapt
			treatments for patients
			The majority of patients thought that the consent for HTS should contain information on secondary
			findings: the possible prevention for certain variants, the possibility to limit the adverse effects of certain
			drugs for pharmacogenetic variants, the fact that there may have been errors in the interpretation of certain
			variants, the possibility that certain results could have a negative psychological effect, the possibility of
			evolution of results with advances in scientific knowledge.
Oberg et al.,	Overcoming Challenges to	15 parents of children with cancer split into 4 groups	The desire to receive secondary findings was high in all groups (80%), but certain persons did not want
2015	Meaningful Informed Consent	and semi-structured interviews in a control group of	these results because they feared it would cause anxiety. All preferred consent in 2 steps, and giving
	for Whole Genome	10 patients without cancer to establish	consent for secondary findings after a delay of two months.
	Sequencing in Pediatric Cancer	recommendations for consent for genetic	
	Research	investigations in children with cancer.	

Kaphingst et	Preferences for Return of	60 semi-structured interviewed of women	They evaluate patient interest toward receiving 6 categories of incidental findings:
al., 2016	Incidental Findings from	diagnosed with breast cancer at age 40 or younger.	- related to risk of a preventable or treatable disease (100% were interested)
	Genome Sequencing Among		- related to a risk of an unpreventable or untreatable disease (35% were interested)
	Women Diagnosed with Breast		- affecting treatment response (100% were interested))
	Cancer at a Young Age		- uncertain or unknown significance (VUS) (65% were interested)
			- carrier status (87% were interested)
			- no health meaning (77% were interested)
			When ask to classify in those categories the one that they would choose first, it was "related to risk of a
			preventable or treatable disease" (83%).

<u>Table 1b</u>: Studies of opinions centred on healthcare professionals and classified according to the methodology used: quantitative, qualitative or mixed (quantitative + qualitative).

Author / Year of	Title	Number of subjects studied/Method	Main result
publication			
		Quantitative methodology	
Lohn et al.,	Genetics Professionals' Perspectives on Reporting	114 geneticists and 96 genetic counsellors	If the HTS concerned an adult patient (Ad) or a child patient (Ch):
2012	Incidental Findings From Clinical Genome-Wide	Survey sent by email.	- 94% (Ad) and 96% (Ch) were in favour of returning of variants
	Sequencing		with a predisposition to serious diseases and accessible to
			treatment
			- 75% (Ad) and 70% (Ch) were in favour of returning of
			pharmacogenetic variants
			- 57% (Ad) and 40% (Ch) were in favour of returning variants with
			a predisposition to untreatable serious diseases
			- 73% (Ad) and 40% (Ch) were in favour of returning heterozygous
			recessive variants
			- 29% (Ad) and 28% (Ch) were in favour of returning variants of
			unknown significance
Fernandez et	Attitudes of Canadian Researchers toward the return to	74 Researchers (Genomic researchers, medical	Only 16% of the researchers feel a strong responsibility to look for meaningful
al., 2013	participants of incidental and targeted genomic findings	geneticists and nongeneticists clinicians) from the	incidental results. But if an incidental result was noted, 78% think they had an
	obtained in a pediatric research setting	Canadian Pediatric Cancer Genome Consortium	obligation to transmit this result. Medical geneticists (57%) were significantly
		(CPCGC) and the	more likely than genomics researchers (18%) to report a feeling of
		Finding of Rare Genes Canada Consortium	responsibility to examine the data set for incidental clinically relevant
		(FORGE)	findings.
		Questionnaire sent by mail	

Lemke et al.,	Perspectives of clinical genetics professionals toward genome	279 clinical geneticist professional attendees of an	96% wanted to know about incidental findings indicating a "clinically
2013	sequencing and incidental findings: A survey study	ACMG workshop.	actionable" adult-onset disease, 78% in their children (vs 44% and 31% for
		Comparison of what they want to know and what	non-actionable diseases)
		they want to disclose.	99% wanted to know about child onset clinically actionable IF vs 75% for
			non-actionable diseases.
Yu et al.,	Attitudes of Genetics Professionals Toward the Return of	760 responses completed	Concerning secondary findings related to ACMG genes, these could be
2014	Incidental Results from Exome and Whole-Genome	Questionnaire with binary responses and open	returned to adult patients on request (85%), to healthy adult relatives of an
	Sequencing	questions	index case (75%), to parents of affected children (74%). They thought they
		Aim: characterise the attitude of geneticists	should return data concerning adult-onset diseases (62%) and carrier status for
		concerning the return of results of WES and WGS,	recessive genes (62%) to parents of affected children. Half thought that they
		their procedure to return results and their opinions	should not restrict data to medically actionable findings. 81% thought that
		concerning the recommendations of the ACMG.	individual preferences should guide the return of findings.
Scheuner et	Reporting genomic secondary findings: ACMG members	492 members of ACMG.	71.5% supported the return of incidental findings from the 56 genes list of the
al., 2014	weigh in	Online survey	ACMG in adults and 46.5% in children. 50.9% thought that laboratories
			should intentionally look at those IF in adult vs 38.7% in minors. 37.6%
			thought that IF should be actively researched in unaffected parents of an
			affected child. 80.7% thought that the patient should be allowed to opt out
			receiving IF and even to choose which gene he will receive for 46.2%. The
			exploratory analysis identified four factors that explained 51% of the survey
			variance: best practices, patient preferences, guidance and informed consent.
Barajas and	Pediatric Professionals' Attitudes about Secondary Findings in	179 members of the AAP (American Academy of	>80% thought that the children and their parents had the right to choose to be
Ross, 2015	Genomic Sequencing of Children	Pediatrics), of whom 101 were involved in the SOB	informed or not.
		(Section Of Bioethics) and 74 in the SGBD	35% of members of the AAP and of the SOB supported the recommendations
		(Section of Genetics and Birth Defects), 4 were	of the ACMG compared with 71% for the SGBD. This difference can be
		involved in both groups and were excluded from	explained by the fact that the SOB is essentially against giving access to the
		the comparative analyses.	results for late-onset diseases affecting children.
		Surveys sent by email and post.	30% of each group thought that the parents should not have access to data on
		Aim: examine the opinion of doctors with regard to	adult-onset diseases.
		the recommendations of the ACMG	No consensus for reporting mutations if management would only benefit the
			parents: 34.5% were in favour in the group AAP-SOB vs. 80% of members of
			the SGBD.

Wynn et al.,	Association of Researcher Characteristics with Views on	Exome sequencing: research	Researchers with no clinical training, who did not provide care to patients, and
2015	Return of Incidental Findings from Genomic Research	241 included	who were not involved in returning results were more likely to return
		Questionnaire with fixed-response questions and	secondary findings to patients. Among the researchers who wished to return
		opportunities to enter free-text comments.	secondary findings themselves, 48% of those with and 58% of those without
		Aim: see how the characteristics and the experience	clinical training thought that it would be beneficial to return pharmacogenetic
		of researchers were associated with their opinions	data to patients; 51% of those with clinical training wanted to return high-
		concerning secondary findings.	penetrance variants with no possible intervention, versus 65% for those
			without clinical training; 98% of those with clinical training wanted to return
			actionable high-penetrance variants, versus 94% for those without clinical
			training.
Gliwa et al.,	IRB (institutional review boards) perspectives on obligations	Exome sequencing: research	74% of respondents said they had had experience with secondary findings in
2016	to disclose genetic incidental findings to research participants	796 reviewers belonging to the group "Public	genetics, but only 47% felt ready to return secondary findings (actionable or
		Responsibility in Medicine and Research"	not). 96% recognised the right not to be informed. 7% thought that the time
		Survey	and effort devoted to the analysis of these secondary findings was an obstacle
		Aim: study the opinions of research ethics	to the obligation to return SF to patients.
		committees concerning the return of results and the	
		interpretation of secondary findings	
		Qualitative methodology	
Meacham et	Researcher Perspectives on Disclosure of Incidental Findings	Exome sequencing: research	The decision to return the data or not was based on 3 concepts: the quality of
al., 2010	in Genetic Research	44 researchers	the information (corresponding to the scientific validity of the variants
		Semi-structured telephone interviews	identified), the respect of rules and the welfare of the patient. They agreed on
		Aim: observe the responses of researchers to a	the need to provide clear, personalised information to patients.
		hypothetical discovery of data of unknown	The researchers implemented various actions to prepare for the discovery of
		significance following HTS for research purposes.	secondary findings. These included: adding specific wording to the informed
			consent document to clearly indicate how researchers will convey these data;
			exploring how the participants should be prepared to make decisions, the way
			in which they themselves would like to receive these secondary findings;
			developing procedures for the disclosure and then individualised follow-up.

Simon et al.,	Informed Consent and Genomic Incidental Findings: IRB	34 Chairs of institutional review boards	General consensus on the importance of taking the choice of the patient into
2011	Chair Perspectives	Semi-structured interviews	account but also the evolution of such choices over time.
		Aim: study the opinion of IRB members on the	
		return of secondary findings in a context of	
		informed consent processes	
Dressler et	IRB perspectives on the return of individual results from	Exome sequencing: research	Secondary findings in a research context must be returned when they are
al., 2012	genomic research	31 reviewers	medically actionable, but only if the patient wants to know the result. The
		In-depth interviews	majority of participants were aware of the need to develop guidelines, but did
		Aim: analyse the perspectives and the approaches	not wish this to be done in isolation.
		of IRB with regard to the return of secondary	
		findings in a research context.	
Townsend et	"I Want to Know What's in Pandora's Box": Comparing	Genome sequencing: diagnosis	See Table 1a
al., 2012	Stakeholder Perspectives on Incidental Findings in Clinical	10 Doctors	
	Whole Genomic Sequencing	8 Patients, 10 persons representing society at large.	
		Debates following a guide, led by a moderator.	
Downing et	Genetics specialists' perspectives on disclosure of genomic	50 geneticists	The problems encountered concerned the information given to patients and
al., 2013	incidental findings in the clinical setting	Structured telephone interviews.	the return of secondary findings. Participant underlined their concern about
		Aim: examine the perspectives of geneticists with	causing psychological harm to patients. There was no consensus about
		regard to the disclosure of secondary findings.	whether a secondary finding had to be clinically significant and/or actionable
			to be returned to patients. They were in favour of creating guidelines, but only
			if they were flexible enough to be adapted to individual situations.
Keogh et al.,	How do researchers manage genetic results in practice?	Exome sequencing: research	This article showed the considerable problems of diversity, the challenges and
2014	The experience of the multinational Colon Cancer Family	6 researchers representing 6 centres of the « Colon	the costs encountered in practice, for the return of secondary findings whose
	Registry	Cancer Family Registry ».	utility has been established. The difficulties the most often mentioned were:
		Questionnaires: fixed-choice and open questions.	the absence of guidelines, the problems in terms of cost and logistics due to
		Aim: describe the protocols used to return clinically	the signature of a second consent form, the limited implication of genetic
		pertinent results, report the use of these results,	counsellors in certain centres.
		examine the challenges raised by the return of these	
		results and propose recommendations for future	
		practice.	

Grove et al.,	Views of genetics health professionals on the return of genomic	35 members of ASHG or NSGC	Participant were divided into three focus group, entitled "Informed consent
2014	results	Three focus groups	and patient autonomy", "Challenges in the delivery of genomic medicine" and
			"Categorizing incidental results". They thought that results should be returned
			to the healthcare provider rather than directly to patient. They agreed on the
			returning of pharmacogenetic results as they felt they were not stigmatizing,
			but there were much debate regarding medically relevant results. Regarding
			incidental results, healthcare providers would place genetic results into
			categories and the patient would subsequently consent to those categories.
Kleiderman	Disclosure of incidental findings in cancer genomic research:	Exome sequencing: research	4 context-dependent elements must be taken into account for the return of
et al., 2015	investigators' perceptions on obligations and barriers	20 professionals	results: the importance of the results, the respect of the choice of patients, the
		Semi-structured telephone interviews.	implication of infrastructures, professional responsibility
		Aim: explore the perceptions and experiences of	
		investigators with regard to the return of secondary	
		findings in research	
		Mixed methodology (quantitative + qualitative)	
Klitzman et	Researchers' views on return of incidental genomic research	234 members of the US genetic research	95% believe that incidental findings for highly penetrant disorders with
al., 2013	results: qualitative and quantitative findings	community (survey)	immediate medical implications should be offered to research participant and
		28 genomic researchers (semi-structured interview)	91.5% for children, in case of clinically actionable variants before adulthood.
			60% would disclose high penetrance variants without clinical intervention to
			adults (vs 48.5% to children). 54% would disclose pharmacogenetic variants.
			Researchers raised concerns that the return of incidental findings would
			impose significant burdens on research and could potentially have deleterious
			effects on research participants if not performed well. They believe
			participants should have the choice to receive at least some incidental findings.

Appelbaum	Informed Consent for Return of Incidental Findings in	Exome sequencing: research	The majority of researchers and participants raised several problems related
et al., 2014	Genomic Research	20 patients participating in a study	to the return of secondary findings: the risks, the benefits, the impact on family
		Mean age 44 years (semi-structured interviews)	members, data security and the return of results in cases of death or loss of
		254 researchers in genetics (internet survey)	contact. All expressed concern that patients would be overwhelmed by this
		28 genomic researchers (semi-structured	information. The majority of researchers did not want to spend more than
		interviews)	30min returning these results.
		Aim: provide suggestions to investigators to shape	This study identified the principal benefits of returning secondary findings.
		the consent process for the return of secondary	For researchers:
		findings in research	- 225/241 thought that a predisposition to a treatable disease could
			be identified
			- 200/241 thought that prophylactic measures could be implemented
			- 151/241 thought that genetic counselling could be implemented for
			descendants
			- 161/241 thought that pharmacogenetic variants could make it
			possible to adapt treatments for patients
Turbitt et al.,	Availability of treatment drives decisions of genetic	59 Australian geneticists	The most important criterion for the return of secondary findings was the
2014	health professionals about disclosure of incidental	Discrete-choice method	existence of preventive measures and treatments. Other notions, such as age
	findings	Aim: evaluate the most important characteristics	at the onset of symptoms in childhood, the severity and complete penetrance
		according to the doctors for the return of secondary	were important.
		findings concerning children	
Strong et al.,	Views of primary care providers regarding	Exome sequencing: diagnosis	96% of paediatricians wanted to know about actionable secondary findings of
2014	the return of genome sequencing incidental findings	258 paediatricians	adult-onset diseases affecting them (56% if non-actionable). Concerning their
		Survey.	children, 91% wanted to know the data for adult-onset diseases if actionable,
		Aim: evaluate the opinions of non-geneticist	47% if non-actionable; for childhood-onset diseases, the figures were 96% if
		doctors concerning the return of secondary	actionable and 68% if non-actionable.
		findings.	

Gray et al.,	Oncologists' and Cancer Patients' Views on Whole-Exome	Exome sequencing: research	78% were in favour of returning secondary findings if the validity of the WES
2016	Sequencing and Incidental Findings: Results from The CanSeq	167 patients	data was clinically established. 67% were not in favour of restricting the return
	Study	27 oncologists	of results to actionable genes only. 52% thought that patients could receive all
		Prospective study with surveys and interviews for	of the results they wanted and even raw sequencing data.
		the doctors.	
		Aim: show how the introduction of WES in cancer	
		care could affect patients and oncologists.	
Middleton et	Attitudes of nearly 7000 health professionals, genomic	Exome sequencing: research	This article compared the points of view of healthcare professionals with those
al., 2016	researchers and publics toward the return of incidental results	6944 persons interviewees:	of the general public.
	from sequencing research	- 4961 persons representing society at	In a research context, researchers were not expected to analyse secondary
		large	findings opportunistically. Healthcare professionals were more conservative
		- 533 geneticists	than society at large (OR=7.21, 95% CI=5.19-10.03).
		- 843 non-genetic health professionals	96% (1552/1617) of healthcare professionals wanted to propose to screen for
		- 607 genomic researchers	actionable secondary findings and 65% (1038/1600) for non-actionable
		51% were between 31 and 50 years of age	secondary findings.
		web-based survey.	

<u>Table 1c:</u> Studies of opinions centred on society at large and classified according to their methodology: quantitative, qualitative or mixed (quantitative + qualitative).

Author / Year	Title	Number of subjects	Main result			
of publication		studied/Method				
Quantitative methodology						
Fleming et al.,	Attitudes of the general public towards the	Exome sequencing: research	The majority wanted to receive information on secondary findings if they were taking part in clinical studies.			
2015	disclosure of individual research results and	800 Australians aged 18 years and	94% wanted to receive «information that was important for their health or on the treatment of their disease »,			
	incidental findings from biobank genomic	older, representative of the	84% « on their risk of being a carrier of an inherited genetic disease » $70%$ « wanted to receive information			
	research in Australia	Australian population.	not directly concerning their disease ».			
		Mean age 58.2 years				
		Telephone survey.				
Regier et al.,	Societal preferences for the return of	Exome sequencing: diagnosis	The participants expressed interest in receiving findings for severe or very severe diseases with a lifetime risk			
2015	incidental findings from clinical genomic	1200 Canadians	≥80%, for which there is an effective treatment, and for heterozygous variants associated with recessive			
	sequencing: a discrete-choice experiment	Median age 49 years	hereditary diseases. However, they expressed no interest in variants exposing them to diseases with no			
		Discrete-choice method	available treatments or lifestyle interventions. Patients' preferences tended towards the possibility of having			
			the choice of what investigations to have			
Middleton et	Attitudes of nearly 7000 health	Exome sequencing: research	Concerning the general public, 98% (4199/4304) wanted to receive actionable secondary findings and 73%			
al., 2016	professionals, genomic researchers and	6944 including 4961 persons	(3146/4304) wanted to receive non-actionable secondary findings.			
	publics toward the return of incidental	representing society at large				
	results from sequencing research	Web-based survey.				
Hufnagel et al.,	Adolescents' Preferences Regarding	Exome sequencing: research	83% wanted access to secondary findings that were non-actionable during childhood. 72% wanted to take part			
2016	Disclosure of Incidental Findings in	282 students who had been given	in decision-making. 73% thought that the parents of children of less than 12 years old must have access to			
	Genomic Sequencing That Are Not	information on secondary findings	this information.			
	Medically Actionable in Childhood	89% between 12 and 18 years old				
		11% more than 18 years old				
		Survey				
		•	ye methodology			

Haga et al.,	Public Perspectives About	45 inhabitants of North Carolina	The study investigated the opinions of the public on carrying out hypothetical pharmacogenetic tests and or
2012	Pharmacogenetic Testing and Managing	divided into 4 focus groups.	the discovery of secondary findings during these tests.
	Ancillary Findings	Median age between 40 and 49	The inhabitants were rather interested in the contribution of pharmacogenetics. 3 of the 4 groups recognised
		years	the benefit of knowing the risk of adverse effects of treatments. They thought that the clinician should return
		Discussion led by a moderator.	these results but they were apprehensive about receiving results concerning predispositions to late-onset
			genetic diseases.
Townsend et	"I Want to Know What's in Pandora's	Exome sequencing: diagnosis	See Table 1a
al., 2012	Box'': Comparing Stakeholder	10 Doctors, 8 Patients	
	Perspectives on Incidental Findings in	7 persons representing society at	
	Clinical Whole Genomic Sequencing	large.	
		Debates following a guide, led by a	
		moderator.	
Daack-Hirsch	"Information is Information": A public	Exome sequencing: diagnosis and	The participants wanted to be informed about secondary findings in clinical as well as research contexts. They
et al., 2013	perspective on incidental findings in	research	wanted to be given the choice of being informed or not. The potential of personally benefiting from the
	clinical and research genome-based testing	63 divided into 9 groups	findings was the principal reason.
		representing various populations:	
		African-Americans, Hispanics,	
		Clergy, Elderly persons.	
		Directed interviews and open	
		questions.	
Christenhusz et	Focus group discussions on secondary	50 Belgians divided into 8 focus	As well as the medical and scientific aspects, the participants underlined the interest of taking the parent-child
al., 2015	variants and next-generation	groups of 6 or 7.	relationship and the context into account. They did not want the results to be restricted to variants concerning
	sequencing technologies	Structured discussions led by a	potentially deadly diseases. Several times, the members of a group used the same arguments to justify opposite
		moderator.	opinions.
		Mixed methodology	(quantitative + qualitative)

Strong et al.,	Views of Nonmedical, Health System	97 participants at the meeting of the	The majority wanted to receive all types of information on secondary findings concerning:	
2014	Professionals Regarding the Return of	Medical College of Wisconsin's	- 97% for actionable adult-onset diseases	
	Whole Genome Sequencing Incidental	Billing and Collections Team	- 81% for non-actionable adult-onset diseases	
	Findings	Multiple-choice questionnaire	on the secondary findings concerning their children :	
		98% of women, 58% were older	- 95% for actionable adult-onset diseases	
		than 45 years	- 77% for non-actionable adult-onset diseases	
			- 99% for actionable childhood-onset diseases	
			- 84% for non-actionable childhood-onset diseases	

Table 2: Synthesis of percentages of favourable opinions for the overall return of SF according
to their actionability and the diagnosis/research criterion, when the article gave such
information

Article	Studied Population	Actionable Secondary Findings	Non-actionable secondary findings	Diagnosis context	Research Context
Shahmirzadi et al., 2013*	Participants	187/200 (94%)		х	
Fernandez et	Participants	Adults: 312/321 (97%)	(83%)		x
al., 2014*		Minors: 318/322 (99%)			
			Minors: 281/316 (89%)		
Fernandez et	Participants	Adults: 141/146 (97%) Minors: 139/145 (96%)			x
al., 2015*			(83%)		
			Minors: 122/143(85%)		
Jelsig et al., 2015#	Participants	45/127 (36%)			X
Gray et al., 2016*	Participants	159/167 (95%)	142/167 (85%)		Х
Kaphingst et al., 2016*	Participants	60/60 (100%)			Х
Total	Participants	Overall population :	Overall population :		
		894/1021 (88%) Minors : 457/467 (98%)	526/630 (83%)		
			Minors : 403/459 (88%)		
Lohn et al., 2012*	Healthcare	Adults: 195/207 (94%)	Adults: 118/207 (57%)	Х	
		Minors: 199/207	(37%)		
2012*	providers				
2012*	providers	Minors: 199/207 (96%)	Minors: 83/207 (40%)		
Lemke et al.,	Healthcare		Minors: 83/207 (40%) Adults: 145/279	x	
Lemke et al.,	-	(96%) Adults: 268/279 (96%) Minors: 273/279	Minors: 83/207 (40%) Adults: 145/279 (52%)	x	
Lemke et al.,	Healthcare	(96%) Adults: 268/279 (96%)	Minors: 83/207 (40%) Adults: 145/279	X	
Lemke et al., 2013* Yu et al.,	Healthcare	(96%) Adults: 268/279 (96%) Minors: 273/279	Minors: 83/207 (40%) Adults: 145/279 (52%) Minors: 195/279	X X	
2012* Lemke et al., 2013* Yu et al., 2014# Scheuner et al., 2014#	Healthcare providers Healthcare providers	(96%) Adults: 268/279 (96%) Minors: 273/279 (98%)	Minors: 83/207 (40%) Adults: 145/279 (52%) Minors: 195/279		
Lemke et al., 2013* Yu et al., 2014# Scheuner et al.,	Healthcare providers Healthcare providers Healthcare	(96%) Adults: 268/279 (96%) Minors: 273/279 (98%) 571/800 (71%) Adults: 352/492	Minors: 83/207 (40%) Adults: 145/279 (52%) Minors: 195/279	Х	
Lemke et al., 2013* Yu et al., 2014# Scheuner et al.,	Healthcare providers Healthcare providers Healthcare	 (96%) Adults: 268/279 (96%) Minors: 273/279 (98%) 571/800 (71%) Adults: 352/492 (71.5%) Minors: 229/492 	Minors: 83/207 (40%) Adults: 145/279 (52%) Minors: 195/279	Х	

2015*	providers	Minors: 191/241	(50%)		
		(79%)	Minors: 96/241 (40%)		
Strong et al., 2014#	Healthcare providers	Adults: 235/242 (97%) Minors: 234/243	Adults: 177/243 (73%)	Х	
	•	(96%)	Minors: 191/244 (78%)		
Klitzman et al.,	Healthcare	Adults: 222/234 (95%)	(60%)		X
2013*	providers	Minors: 214/234 (91.5%)			
			Minors: 113/234 (48.5%)		
Gray et al., 2016*	Healthcare providers		14/27 (52%)		х
Middleton et al., 2016*	Healthcare providers	1552/1617 (96%)	1038/1600 (65%)	Х	
Total	Healthcare providers	Overall population : 3690/4285(86%)	Overall population :		
	providers	Minors : 1340/1696	1754/2831 (62%)		
		(79%)	Minors : 678/1205 (56%)		
Fleming et al., 2015*	Society	755/800 (94%)			Х
Middleton et al., 2016*	Society	4199/4304 (98%)	3146/4304 (73%)		х
Hufnagel et al., 2016#	Society		Minors: 209/252 (83%)	Х	
Strong et al.,	Society	Adults: 82/85 (96%)	Adults: 72/83 (87%)	х	
2014#		Minors: 79/82 (96%)	Minors: 70/84 (83%)		
Total	Society	Overall population : 5036/5189 (97%)	Overall population : 3218/4387 (73%)		
		Minors : 79/82 (96%)	Minors : 279/336		
			(83%)		
Total	All categories	Overall population : 9620/10485 (92%) Minors : 1876/2245 (84%)	Overall population :		
			5498/7848 (70%) Minors : 1360/2000		

"*" is referring to an article which uses examples to classify secondary findings into actionable or non-actionable ones "#" is referring to an article which uses ACMG guidelines to classify secondary findings into actionable or non-actionable ones

"Overall population" regroups data without any age distinction.

"ASF" Actionable secondary findings

"NASF" Non-actionable secondary findings