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1	Lipid oxidation in oil-in-water emulsions: Iron complexation by buffe	
2	ions and transfer on the interface as a possible mechanism	
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8	Highlights	
9	• Iron complexation by buffer influences its ability to initiate oxidation	
10	• The structure of buffer-iron complexes determines its reaction with oxygen	
11	• The charge of oil-water interface modulates the lipid oxidation kinetic	
12	• Buffer-Iron complex could migrate to the positive oil-water interface	
13	ABSTRACT	
14	Lipid oxidation is the main hurdle for omega-3 fatty acid enrichment in food and	
15	beverages. Fat oxidation reduces the quality and safety of supplemented products. A tuna	
16	oil-in-water emulsion (20% v/v) was exposed to iron-induced oxidation. Emulsions with	
17	changing emulsifiers and buffers were analyzed under different storage conditions (argon	
18	purging, pH variation) using Conjugated Dienes and Thiobarbituric acid reactive substances	
19	assays. The results showed that free iron ions cannot interact with oxygen. However, buffers	
20	(Citrate and phosphate) chelate iron ions (Fe (II)). Depending on the pH value and the type of	
21	buffer-Fe (II) complex, its prooxidant activity and spatial distribution are influenced. The	
22	complex charge defines the interactions with the oil-water interface, i.e., positively charged	

23 interfaces repel positively charged complexes which keeps the prooxidant away. The

- 24 mechanistic understanding of this work will help formulators and product developers to
- 25 choose the right buffer and emulsifier combination for oxidation sensitive emulsions.
- 26 Keywords
- 27 Lipid oxidation
- 28 Oil-in-water emulsion
- 29 Iron-oxygen complexes
- 30 Iron-counter ions complexes
- 31 Buffer
- 32 Emulsifier
- 33 Interfacial charge

34 **1. Introduction**

35 Omega-3 long-chain polyunsaturated fatty acids (ω -3 LC-PUFAs) are ranked very high 36 in consumer awareness and health perception at all ages (Cargill, 2014). Studies showed their 37 contribution to the development of the infant neural system (EFSA, 2014), reduction of 38 cardiovascular disease (He, 2009), and prevention of Alzheimer (Wang et al., 2018), etc. 39 However, the global ω -3 LC-PUFAs intake level is low to very low in most parts of the world 40 (Stark et al., 2016). The World Health Organization recommends higher consumption of these 41 fatty acids (FAO/WHO, 2008). Fish and other marine foods are a great source of ω -3 42 LC-PUFAs. Consequently, food enrichment with fish oil is becoming a growing trend e.g. 43 enriched milk (Let et al., 2003), etc. 44 LC-PUFAs are very prone to oxidation due to their high degree of unsaturation 45 (Frankel, 2015). Oxidation reduces significantly product shelf-life. Lipid oxidation causes loss 46 of nutrients, rancidity, and fishy off-flavors. Color and texture of food and beverages may be 47 strongly impacted and even severe health issues and pathologies can be caused by lipid 48 oxidation products (Albert et al., 2013). Aldehydes like 4-hydroxy-2-nonenal (4-HNE) and

49 4-hydroxy-2-hexenal (4-HHE) were related to adult respiratory distress syndrome, diabetes,
50 and cancer (Liao et al., 2020; Long & Picklo, 2010).

51 In most food and beverages ω -3 LC-PUFAs are dispersed in an aqueous phase, 52 producing an oil-in-water emulsion. Oxidation in emulsions is known to be mechanistically 53 more complex than in bulk oil (Laguerre et al., 2017). Trace amounts of the prooxidant ions 54 such as iron, copper, or chrome can hardly be avoided in food production. These ions are 55 introduced via contamination in the raw material, piping, or even is wanted for fortification as 56 an effective and sustainable solution to iron deficiency (Martínez-Navarrete et al., 2002). 57 Several mechanisms were proposed to describe the iron-induced lipid oxidation (Cheng & Li, 2007; Schaich, 1992). The Fenton reaction (reaction of iron (II) ions Fe²⁺ with hydrogen 58 peroxide (H₂O₂)) suggested the hydroxyl radical (OH[•]) as the main inducer of lipid oxidation. 59 A second theory proposed an optimum ratio of Fe^{3+}/Fe^{2+} , at this ratio the lipid oxidation was 60 61 initiated. These theories failed to explain oxidation in some cases and were rejected by several 62 researchers (Cheng & Li, 2007; Qian & Buettner, 1999; Yin et al., 1992). For instance, a 63 linoleic acid emulsion with Fenton reagents could stay stable for hours when the system was separated from ambient air. Besides, when an optimum ratio (1:1) of Fe^{3+}/Fe^{2+} was applied in 64 65 the same system, the oxidation rate was slower (Yin et al., 1992). 66 The impact of pH, emulsifier, particle charge and other parameters, on lipid oxidation,

67 were studied separately or combined (Cho et al., 2003; Engelmann, 2003; Fukuzawa et al.,

68 1988; Fukuzawa & Fujii, 1992; Mei et al., 1998; Schaich, 1992; Sørensen et al., 2008).

69 However, the results were inconclusive. In these studies, buffers were added to emulsions

70 (studies on lipid oxidation) or food formulations. Some authors have taken into account the

- 71 metal-buffer interactions (Engelmann, 2003). Nevertheless, the majority does not indicate the
- 72 buffer influence. It may result from an underestimation of the possible buffer metal

complexation, or non-availability of complex stability constants in the literature (Ferreira etal., 2015).

Citric acid is one of the most added buffers to food and beverages. It is recognized as an 75 76 effective flavor enhancer. The understanding of iron-buffer interactions could help researchers 77 and food technologists producing more chemically and physically stable emulsions. This 78 study seeks more insights into the buffer impact on the iron prooxidant potential on lipid 79 oxidation. Tuna oil-in-water emulsion (20% v/v oil) was chosen as a model system. Tuna oil 80 and emulsions were characterized. Various conditions were applied. Several buffers and 81 emulsifiers were tested. The pH level was changed. Oxidation level was measured by 82 conjugated dienes (CD) value and thiobarbituric reactive species (TBArs) value.

83 2. Materials and methods

Refined tuna oil was produced and supplied by ZOR (A Cargill Company, Zaandam, The Netherlands). Oil was protected by the addition of tocopherols during production. It was purged with Nitrogen and placed in amber glass bottles at -18 °C. The list of reagents and solvents used, is provided in the supplementary data (A).

- 88 2.1. Characterization of tuna oil
- 89 2.1.1. Fatty acids (FA) composition

Fatty acids composition was measured according to AOAC Method 996.06 with minor
modifications (Suseno et al., 2014). Extraction and measurement were repeated three times.
First, oil samples (0.18 g), Glyceryl triundecanoate (CAS Number 13552-80-2) as internal
standard (0.02 g) (10% w/v), sodium hydroxide solution (0.5 M, 4 mL), isooctane (2 mL) and
few boiling chips were placed in a round bottom flask. A water-cooled condenser was
attached. Then, the mixture was heated under reflux for 15 min. Boron trifluoride (5 mL) and
isooctane (8 mL) were added separately and heated for 5 and 1 min, respectively. Afterward,

97 the flask was left to cool for 15 min. Saturated sodium chloride solution (3 mL) was added. A
98 small scoop of sodium sulfate was placed in a glass tube, and an aliquot of the isooctane layer
99 was added.

100 The standard solution of FAs methyl esters (FAME) was diluted 100 times, and 10 µL 101 of internal standard was added. Analyses were performed using a gas chromatograph (HP 102 6890 series, Germany) equipped with a split/split-less injector (T= 250 °C), a DB-5MS 103 column (30m, i.d. 0.320mm, 0.5 µm film thickness) and mass spectrometer detector 104 5973(MS) (T=250 °C) (Agilent, USA). The carrier gas was Helium (a column flow of 105 1.4 mL/min) and the split ratio was 100:1. The oven temperature program was as follows: 106 11 min at 170 °C, from 170 to 200 °C at 8 °C/min (hold 1.5 min), from 200 to 210 °C at 107 8 °C/min (hold 16 min at 210 °C), from 210 to 300 °C at 20 °C/min (hold 2 min). Finally, 108 fatty acid percentages were determined by internal standardization without taking into account 109 mass response factors. Calculations were performed with the integrated areas, taking into 110 account the internal standard (Equations 1 and 2).

111
$$m(FA)(g) = \frac{\text{Area}(FA) * m(S)}{\text{Area}(S)}(1)$$

112
$$\%(FA) = \frac{m(FA) * 100}{m_{oil}(g)}(2)$$

113 with FA: Fatty acid and S: internal standard. The results were expressed as % of

114 fatty acid/g (oil) (w%/w).

115 2.1.2. Tocopherol content

The method reported by Gliszczyńska-Świgło & Sikorska, 2004, was used. Oil samples
(0.05–0.10 g) were dissolved in 2-propanol (1 mL). Samples were filtered (0.45 μm filter) and
transferred to 1 mL vials. Likewise, stock (100 ppm) and working solutions of tocopherols
were prepared in 2-propanol. Care was taken to exclude air and light exposure for samples
and standard solutions throughout the analytical procedure.

121 Analysis was performed on Shimadzu high-performance liquid chromatography (LC-122 20AT, Canby, OR, USA) equipped with a C18 Restek column (150mm × 4.6 mm, 5 µm). The 123 UV-visible detector was set at 290 nm. The mobile phase was 50/50 % of 124 acetonitrile/methanol (flow rate of 1 mL/min). The injection volume was 20 µL. Each 125 analysis took 15 min. The α -Tocopherol peak was identified by comparing its retention time 126 to a reference standard (retention time was 7.48 min). The concentration of α -tocopherol was estimated using an external calibration curve (0.5 to 100 ppm and $r^2 = 0.997$) and expressed in 127 mg.Kg⁻¹ of oil. 128

129 2.1.3. Iron content

Iron concentration in tuna oil was measured using the same method as Liu et al., 2019.
Dry ashing method was used to destroy the organic matter. To begin, aliquots (5 g) of tuna oil
were heated for more than 8 hours at 102 °C. Next, samples were transferred to the muffle
furnace (500 °C) and kept for 10 hours.

Thereafter, each sample was dissolved in 25 mL of 2% w/w hydrochloric acid (HCl) solution. Small volumes of HCl solution were added each time and filtered (filter paper). An atomic absorption spectrometer (SpectrAA 220, Varian, Australia) was used with an air/acetylene flame. An iron lamp was set (5 mA, linearity range: 0.06 -15 ppm and 248.3 nm). Furthermore, a standard curve was prepared by analyzing solutions containing known iron concentrations (0.05-1 ppm and r^2 = 0.996). Each measurement represented an average of three acquisitions and iron content was expressed as mg.Kg⁻¹ of oil.

141 2.2. Emulsion preparation and oxidation conditions

142 Emulsions were prepared using the same process as our previous study (Daoud et al.,

143 2019) with some slight modifications. Briefly, all emulsions were at 20% (v/v) tuna oil. The

144 aqueous phase was buffer (70% v/v) and (10% v/v) Ferrous (II) sulfate heptahydrate

145 (Fe (II) SO₄.7 H₂O) solutions. Citrate and phosphate were used as buffers (details in

146 supplementary data A). Buffers were prepared at 1 mM concentration, and pH was adjusted 147 using hydrochloric acid (HCl) or sodium hydroxide (NaOH) solutions. Iron solution (1 mM) 148 was added to the aqueous phase (final concentration in emulsions 0.1 mM). Subsequently, 149 emulsifiers at the concentration of 1% (w/v) for Hexadecyltrimethylammonium bromide 150 (CTAB) and sodium dodecyl sulfate (SDS), and 3.7% (w/v) for Tween20 were used to 151 produce the emulsions. Tuna oil and aqueous phase were blended three times (1 min each 152 time) at 20,500 rpm using a rotor/stator homogenizer (Ultra Turrax® T25; IKA-Labortechnik, 153 Munich, Germany). The coarse emulsion was then homogenized four times at 35 MPa using a 154 microfluidizer (LM10; Microfluidics, Westwood, MA, USA). 155 Finally, each preparation was distributed into three vials: 10 mL of each sample were

156 placed in 30 mL vial. In contrast, for the experiment with argon purging, smaller vials (2 mL)

157 were used. The empty vials were purged for 20 seconds prior to the addition of samples.

158 After, emulsions (1 mL) were placed inside and purged for 40 seconds with 5 mL/min flux.

159 These conditions were set to ensure total removal of oxygen dissolved in the emulsion and

160 present in the headspace. Samples were kept in dark at 30°C for 30 days.

161 2.3. Characterization of oil in water emulsion

162 *2.3.1. Particle size*

163 The oil droplet size was measured by a Mastersizer 2000 (Malvern Instruments Co.,

164 Ltd., Worcestershire, UK). The emulsion was dispersed in the corresponding buffer to fit the

165 obscuration range (0-12%). The refractive indexes of oil and dispersant (water) were set

166 at 1.48 and 1.33, respectively. The mean of five scans was calculated for each measurement.

167 Moreover, measurements were repeated on three replicates. Data were then analyzed by

168 Mastersizer 3000 software. The particle size distribution was determined by the application of

169 the Mie theory. Then, the volume-surface average diameter (d 3.2) and volume average

170 diameter (d $_{4.3}$) were expressed in μ m as the mean values of three replicates \pm standard 171 deviation.

172 *2.3.2. Particle charge*

173 The oil droplet charge was evaluated by measuring the zeta-potential (mV). A zetameter 174 CAD Zetacompact® (CAD Instrument, Les Essarts Le Roi, France) was used to measure 175 these two parameters. To start, emulsions were greatly diluted in the corresponding buffer 176 solution until a sparser particle distribution. The measurements were carried out at room 177 temperature. Data were presented as an average of three repetitions (separate injections).

178 2.4. Iron-buffer interaction by UV spectroscopy

Solutions of iron at 0.1 mM and buffers (citrate and phosphate) at 1 mM were prepared.
These concentrations were selected to mimic those of prepared emulsions. The UV spectra of
these solutions as well as solutions of iron and buffers separately were recorded. Also,
solutions of citrate buffer and iron were prepared at different pH values (2.5, 3.9, 5.6, and
7.2). Each sample was measured using a UV-Vis spectrophotometer (UVmc ®, SAFAS,
Monaco) with a data interval of 1 nm and a band path of 2 nm. Spectra were recorded in the
range of 200 to 800 nm.

186 2.5. Evaluation of the oxidation level

187 2.5.1. Determination of the CD value

188 Before analysis, the fat part was extracted (Daoud et al., 2019). Mixture of

189 isooctane/2-propanol (3:1) (1 mL) and sodium chloride (0.024 g) were added to 0.2 mL of

- 190 emulsion. The mixture was vortexed for 1 min and left to stand for 1 min for phase separation.
- 191 Afterward, the upper layer (20-50 µL) was diluted in isooctane. Absorbance spectra were
- 192 recorded between 200 and 300 nm using a UV-VIS spectrophotometer (UVmc ®, SAFAS,
- 193 Monaco) with a data interval of 1 nm and a band path of 2 nm. Pure isooctane was used as a

reference. The value of CDs was expressed in millimoles of equivalents peroxide per
kilogram of oil (mmol eq peroxide·kg⁻¹_{oil}) using a molar extinction coefficient of

196 27000 $M^{-1} \cdot cm^{-1}$ at 233 nm.

197 2.5.2. Determination of TBArs value

198 TBArs value was determined according to the original method described by (Mcdonald 199 & Hultin, 1987). Briefly, TBA reagent was prepared by mixing 0.375 g of thiobarbituric acid 200 (TBA), 15 g of trichloroacetic acid (TCA), 1.76 mL of HCl, and 98.24 mL of water. To avoid 201 further oxidation, 3 mL of a 2% solution of Butylated hydroxytoluene (BHT) in Ethanol was 202 added to 100 mL of TBA reagent. Then, 100 µL of emulsion, 400 µL of water, and 1 mL of 203 TBA reagent were mixed in Eppendorf tube (2 mL capacity). Samples were placed in a water 204 bath (100 °C for 15 min). Samples were left to cool down for 3 min before centrifuging 205 for1 min at 10000 rpm using Eppendorf® Microcentrifuge 5415D (Eppendorf AG, 206 Barkhausenweg, Hamburg, Germany). Once the upper layer was transferred directly or 207 diluted to the cuvette, the UV-spectra were recorded in the range of 500 to 600 nm using the 208 UV-Vis spectrophotometer (UVmc ®, SAFAS, Monaco). The data interval was set at 1 nm 209 and the band path at 2 nm. The concentration of aldehydes was calculated using the standard 210 curve. The standard curve was prepared with tetramethoxypropane (TMP): eight standards, ranging from 0 to 30 μ M and r² = 0.999. TBArs value was expressed in millimolars of 211 equivalents malondialdehyde per liter of emulsion (mM eq MDA.L⁻¹ of emulsion). 212

213 2.6. Statistical tests

To assess significant differences, analysis of variance (one-way ANOVA) followed by means comparison using the Tukey test was applied. The level of significance was set to 95% (p= 0.05). Statistical tests were performed using the Minitab® (version 18.1; PA, USA).

217 **3. Results and discussion**

218 3.1. Preliminary test: defining the major parameters of lipid oxidation

219 Emulsion with 1 mM citrate buffer at pH 7 and iron solution (0.1 mM) was prepared 220 and placed in 2 mL vials (ratio emulsion to the headspace of 1 (v/v)). The oxidation level was 221 evaluated by CD values. A lag phase of 5 days was noticed (Figure 1). CD value increased after attaining a maximum of 112 mmol eq peroxide.Kg⁻¹ _{oil} and then decreased. This 222 223 phenomenon is frequently observed with CD or peroxide value records. The primary product 224 content increases with the degree of oxidation and reaches a maximum. Afterward, the 225 primary products (peroxides) are transformed in secondary products which results in a 226 decrease in their concentration. 227 Fish oil was used in this study because of its low oxidative stability. Its FA composition

228 confirmed it (Table 1). The repartition of fatty acids was in good compliance with the codex 229 of fish oils (Codex Alimentarius Commission, FAO, WHO, 2017), and other researchers' 230 works (Nazir et al., 2017; Suseno et al., 2014). In general, the tuna oil was dominated by 231 palmitic acid (C 16:0) (19.5 % wt.) as the major saturated fatty acids (SFAs) and oleic acid 232 (C18:1) (18.8 %wt.) as the main monounsaturated fatty acids (MUFAs). As for 233 polyunsaturated fatty acids (PUFAs), eicosapentaenoic acid (EPA) (8.9 %wt.) and 234 docosahexaenoic acid (DHA) (25.3 %wt.) were the most abundant. The total PUFAs in tuna 235 oil reached 41.2 %wt. It is well known that the ability to be oxidized could be linearly related 236 to the number of bis-allylic positions present in the fatty esters (two times higher for every 237 active bis-allylic methylene group) (Frankel, 2015). In addition, the decomposition of 238 hydroperoxides starts earlier in the presence of a high amount of PUFA, which confirms the 239 rapid drop of the CD values (Figure 1a). Besides, the initial iron content in tuna oil was estimated as 0.71 ± 0.35 mg.Kg⁻¹ of oil (Table 1). Iron content in fish oil should be within the 240 range of 0.5 to 7 mg.Kg⁻¹ for crude oil and ≤ 1.5 mg.Kg⁻¹ for refined fish oil (Hoan & Son, 241

242 2018). However, the lag phase was seen because tuna oil was enriched with tocopherol 243 (vitamin E). The α -Tocopherol content in oil used in this study was about 1282 mg.Kg⁻¹ _{oil} 244 (Table 1). A maximum amount of 6000 mg.Kg⁻¹ of α -Tocopherol or mixture can be added to 245 preserve fish oil (Codex Alimentarius Commission, FAO, WHO, 2017). α -Tocopherol (AH) 246 reacts rapidly with peroxyl radicals (LOO⁻)(Equation 3). This reaction is faster than the 247 reaction of peroxyl radicals with other lipid molecules (LH) (Equation 4).

248
$$L00^{\circ} + AH \rightarrow L00H + A^{\circ} (10^{6}M^{-1}S^{-1})(3)$$

249
$$LOO' + LH \rightarrow LOOH + L' (10^{1}M^{-1}S^{-1})(4)$$

By hydrogen atom donation, lipid hydroperoxides (LOOH) and tocopherol radical (*A*[']) are
produced. Tocopherol radical is stable by resonance thus, the radical chain reaction does not
propagate (Frankel, 2015).

253 Afterward, the same experiment was repeated but emulsion was purged with argon. 254 Flushing emulsion with argon provided an inert atmosphere. Hence, conjugated dienes values 255 of these samples remained permanently low during several weeks (Figure 1). Regardless of 256 some fluctuations, no significant changes were observed over the storage period (one-way 257 ANOVA p<0.05) (supplementary data (B)). Identical results were obtained for emulsions 258 with phosphate buffer (10 mM) and iron (0.01 mM). Nitrogen and argon-purged emulsions 259 remained stable over 30 days of storage (supplementary data (B)). The unique presence of 260 iron is insufficient for initiating lipid radicalization. Consequently, complete hypoxia is a 261 great way to preserve fragile oil against oxidation (Yin et al., 1992).

A positively charged emulsifier (CTAB) was used with the preconception that positively charged ferric ions cannot reach a positively charged interface. However, the iron ions (Fe²⁺ / Fe³⁺), in the presence of oxygen, were able to reach the interface. Hypervalent iron-oxygen complexes such as ferryl (IV) (FeO)²⁺, perferryl (V) (FeO)³⁺, or mixed iron oxygen complexes (Fe²⁺-O₂-Fe³⁺) were mentioned as responsible for lipid oxidation (Cheng 267 & Li, 2007; Lee et al., 2010; Qian & Buettner, 1999; Schaich, 1992). These species have a 268 high redox potential and are stereospecific oxidants in H-abstraction. The dioxygen (triplet 269 state) cannot react directly with iron (singlet state), because of spin restriction. Nevertheless, 270 iron chelation induces a significant energy rearrangement to "3d" or "4s" orbitals, resulting in 271 the removal of the spin barrier (Yin et al., 1992). In this experiment, chelators were not added. 272 Some authors mentioned the potential of buffers (phosphate, citrate...) to compete with iron 273 chelators (Ferreira et al., 2015; Yin et al., 1992). Could buffers chelate iron and help its 274 interaction with oxygen? What is the reactivity of buffer-iron-oxygen complexes?

275 *3.2. Buffer role in lipid oxidation*

A new set of samples was prepared with iron solution at 0.1 mM. Two buffers were tested: citrate and phosphate. The pH was set at 7 (buffer at 1 mM). The oxidation level was monitored using the CD value.

CD values increased rapidly from approx. 50 to 250 mmol eq peroxide.Kg⁻¹ oil 279 280 (Figure 2a). It was noticed that the buffer type impacted on lipid oxidation. Only after three days, the CD values of these two emulsions were significantly different (one-way ANOVA 281 282 based on buffer's type; p<0.05). Moreover, the induction time was determined by the time 283 when the CD value was significantly different than the day before (one-way ANOVA with the 284 time factor, supplementary data (C)). Induction times were between seven to ten days for 285 citrate and four days for phosphate (designated by arrows in Figure 2a). Thus, the emulsion 286 with citrate buffer was the most stable.

Besides, iron complexation by buffers changes according to the pKa and pH values (Kristinova et al., 2014). As shown in Figure 2b, citric acid has three values of pKa (3.13, 4.76, and 6.4) and phosphate has also three (2.15, 7.2, and 12.33). At pH 7, the citrate (Cit³⁻)

is in majority in comparison of monohydrogenocitrate:

291
$$pH = pKa + \log \frac{Base}{acid} = pKa + \log \frac{[Cit^{3-}]}{[CitH^{2-}]}$$
(5)

292
$$\frac{[Cit^{3-}]}{[CitH^{2-}]} = 10^{(pH-pKa)} = 10^{(7-6.4)} = 3.98 (6)$$

293 The percentage of Cit^{3-} of the total citrate ($Cit^{3-} + CitH^{2-}$) can be estimated as follow:

294
$$\frac{[Cit^{3-}]}{[Cit^{3-}] + [CitH^{2-}]} = \frac{3.98 \ [CitH^{2-}]}{3.98 \ [CitH^{2-}] + [CitH^{2-}]} = \frac{3.98}{1 + 3.98} = 0.799 \ (7)$$

The totality of citric acid is charged: approximately 80% is totally deprotonated (Cit³⁻) and the rest is monohydrogenocitrate (CitH²⁻). Following the same strategy (Equations 5, 6, and 7), the distribution of phosphate species can be defined. At pH 7, phosphate is mainly presented as dihydrogenophosphate H₂PO₄⁻ (61%), and monohydrogenophosphate HPO₄²⁻ (39%). All of these species are negatively charged and can play the role of counter-ion (iron ions or hypervalent iron-oxygen complexes).

Citrate and phosphate can complex iron (Cho et al., 2003; Ferreira et al., 2015; Yin et
al., 1992). These complexes can be detected at 250 and 275 nm after the addition of iron to
citrate and phosphate solutions, respectively (supplementary data (C)). The peaks were also
mentioned in other studies (Francis & Dodge, 1993; Königsberger et al., 2000; Seraghni et al.,
2012; Yin et al., 1992).

306 The complexation of ferrous ions with citrate and phosphate buffers as the constants of 307 complexation $(\log\beta)$ is presented in reactions (8 and 9) and (10 and 11), respectively 308 (Königsberger et al., 2000; Mao et al., 2011).

309
$$Fe^{2+} + HCit^{2-} \rightarrow FeHCit (\log \beta = \frac{[FeHCit]}{[Fe^{2+}][HCit^{2-}]} = 10.17) (8)$$

310
$$Fe^{2+} + Cit^{3-} \rightarrow FeCit^{-} (\log \beta = \frac{[FeCit^{-}]}{[Fe^{2+}][Cit^{3-}]} = 6.13) (9)$$

311
$$Fe^{2+} + H_2PO_4^- \rightarrow FeH_2PO_4^+ (\log\beta = \frac{[FeH_2PO_4^+]}{[Fe^{2+}][H_2PO_4^-]} = 22.3) (10)$$

312
$$Fe^{2+} + HPO_4^{2-} \rightarrow FeHPO_4 \ (\log\beta = \frac{[FeHPO_4]}{[Fe^{2+}][HPO_4^{2-}]} = 16) \ (11)$$

313 Phosphate buffer had higher values of stability constants ($\log\beta$). The resulting 314 complexes are mainly positively charged (FeH₂PO₄⁺) (reactions 10 and 11). In contrast, the 315 majority of iron (II) -citrate complex is negatively charged (FeCit⁻). Can iron complexation by 316 buffer impact its position in the emulsion? Can iron-citrate complex migrate towards the 317 positively charged interface?

318 3.3. Impact of buffer on iron location and activity in the emulsion

Emulsions were prepared with citrate buffer (1 mM), CTAB as an emulsifier, and iron (II) solution at 0.1 mM. Four pH levels were tested: 2.5, 3.9, 5.6, and 7.2. All samples were kept in dark at 30 °C.

322 First, the particle size was measured. The values of $d_{3.2}$ ranged from 0.53 to 0.57 μ m and 323 d_{4:3} from 0.56 to 0.62 µm. At pH 7.2, droplets were slightly bigger. But no significant changes 324 were seen regarding the pH (one-way ANOVA analysis p < 0.05, applied on $d_{4:3}$ and $d_{3:2}$ 325 values) (details in supplementary data (D)). All emulsions were stable: no coalescence or 326 flocculation was observed over the storage period. The particle charge was evaluated by the 327 variations of mobility (µm/s/V/cm) and zeta-potential (mV) (supplementary data (D)). CTAB 328 is always positively charged: it is permanent quaternary ammonium $(R_1R_2R_3R_4N^+)$ and the pH 329 does not influence its charge (no proton exchange). However, when the pH of emulsions 330 increased, the zeta-potential decreased from 43 to 14 mV, then flipped to negative values of --331 15 and -30 mV (Figure 3a). At different pH, several species of citrate are present in the 332 solutions (Figure 3a). For instance, at pH 2.5 citrate is mainly protonated (81% of acid, H₃Cit, 333 and 19% of the conjugated base H_2Cit^{-}). Complexation of iron by citrate is low. Citrate cannot 334 transfer irons ions, the interface charge remained positive. When the pH increase, citrate is 335 gradually deprotonated. It is more available to complex iron ions and conveys it to the

interface. Iron-Citrate complex changes the apparent charge at the interface. In general, the
zeta-potential is a great representation of the electrical characteristics of an emulsion droplet
because it inherently accounts for the adsorption of any charged counter-ions (CanoSarmiento et al., 2018). The charge of iron-citrate complexes varies from positive to more
negative with increasing pH. This phenomenon was also mentioned in other studies
(Fukuzawa, 2008).

At a higher level of pH, iron complexation by citrate becomes more important. It was proved by the UV-spectra of the iron-citrate solutions (at different pH) (Figure 3b). The spectra of iron and citrate solutions alone were reduced from the solutions spectra. When the pH increase, the peak of the iron-citrate complex near 250 nm increased. Citrate comprised more possible sites to complex iron. The resulting complex migrates to the interface and amends its absolute charge. The state of emulsion interface and the possible migration of iron-oxygen-buffer complexes are schematized in Figure 3a.

349 Iron complexation by citrate facilitates its migration near the interface (CTAB as an 350 emulsifier). However, when citrate was used as a buffer the emulsion was more stable to 351 oxidation. Complexation affinity cannot explain alone the difference in the oxidation levels of 352 the two prepared emulsions. When iron complexation was considered retarding lipid 353 oxidation, in several cases, it was the opposite. For instance, the stability constants of 354 diethylenetriaminepentaacetic acid (DTPA) and ethylenediaminetetraacetic acid (EDTA) with 355 iron (II) ions are very similar. Their addition led to different oxidation rates in 356 iron (II)-linoleic acid emulsion (Yin et al., 1992). The reaction of complexed-Fe (II) with 357 oxygen is mainly controlled by the structure of this complex. To be able to react with oxygen, 358 complexed-iron should still present at least one free site or occupied by a labile water 359 molecule. When reaction with oxygen is possible, the Fe (II) autoxidation can occur

360 (production of Fe (III) -complex), Fe (II) -complex-O₂ is no more present to accelerate lipid
361 oxidation.

362 In the presence of citrate, nuclear magnetic studies confirmed that the ferrous citrate 363 complex (FeCit⁻) is tridentate. Fe (II) is involved with two carboxylic acid groups and the 364 hydroxyl group of citrate and the fourth bond is with a water molecule (Francis & Dodge, 365 1993). This structure eases the autoxidation of the ferrous citrate complex. This fast 366 autoxidation retards the initiation of lipid oxidation. Other studies conferred about the presence of a dimeric iron citrate complex (FeCit₂⁴⁻) at pH 7 (log β = 7). The formation of this 367 368 dimeric complex also increased the iron (II) oxidation rate: in the presence of citrate (ratio of 369 1:10 (iron: citrate)) oxidation rate was at least two times higher (Pham & Waite, 2008). 370 A speciation study on Fe (II) autoxidation kinetics in the presence of phosphate, showed 371 that $FeH_2PO_4^+$ and $FeHPO_4$ are the two major phosphates complexes at pH 6.5-7.5 (Mao et 372 al., 2011). Both complexes do not promote iron autoxidation. As a result, these phosphate-373 iron complexes are more available to initiate lipid oxidation. These findings comply with the 374 oxidative stability seen higher for emulsion with citrate buffer compared to phosphate buffer. 375 Since the structure and charge of resulting complexes are important, the interface charge has 376 also a role in lipid oxidation.

377 *3.4. Impact of interface charge on lipid oxidation*

Three emulsions were prepared at both pH 2.5 and 7.2. The same buffer and iron
concentrations were maintained. Three emulsifiers were tested: CTAB (positively charged),
Tween20 (no charge), and SDS (negatively charged).

381 The particle size of emulsions with SDS and Tween20 were in the same range of

- 382 emulsions with CTAB emulsifier. Emulsions stabilized with SDS had d_{4:3} of
- 383 $0.569 \pm 0.008 \,\mu\text{m}$ and $d_{3:2}$ of $0.538 \pm 0.006 \,\mu\text{m}$. Emulsions with Tween20 emulsifier also
- 384 presented lower values of $d_{4:3}$ (0.528 ± 0.001 µm) and $d_{3:2}$ (0.5 ± 0.001 µm). The zeta

potential values were 7.69 ± 1 and 3.09 ± 7.2 mV respectively for SDS and Tween20 stabilized emulsions. The zeta potential of the emulsions prepared with Tween 20 and SDS was less important compared to emulsion prepared with CTAB emulsifier.

At pH 2.5, there was no significant difference in the CD values (test of equal variance p<0.05) (supplementary data (E)). In contrast, the TBArs test presented some significant variations (Figure 4a). At pH 2.5, emulsions with CTAB emulsifier revealed no changes in TBArs values through the storage time (test for equal variance p<0.05). The TBArs of emulsion with SDS was significantly increasing since the first day. A similar phenomenon was identified for emulsion with a neutral interface (Tween20) but with a lower extent.

394 At pH 7.2, the citrate $(86\% \text{ Cit}^{3-})$ is in majority in comparison of monohydrogenocitrate

395 $(14 \% \text{ CitH}^{2})$ (ions distribution obtained by equations 5, 6 and 7, with pH = 7.2 and pKa =

396 6.4). The neutral Tween20 emulsifier presented the most stable emulsion (slight variations

397 seen with one-way ANOVA p<0.05). The CD values were lower than those with CTAB and

398 SDS emulsifiers. Once again SDS emulsion was the less stable (supplementary data (E)). As
399 for TBArs values, the same order as at pH 2.5 was noticed, SDS stabilized emulsion was the
400 less stable emulsion followed by Tween20 and CTAB emulsion (Figure 4b).

401 Compared to SDS and Tween20, CTAB-emulsion was more stable. TBArs values at 402 pH 2.5 and 7.2 were almost stable during the storage time (Figure 4). The positively charged 403 interface repelled iron ions and retarded the oxidation. SDS negatively charged interface 404 attracted iron and iron-oxygen ions. Hence, iron was closer to the peroxides molecules 405 (LOOH) (present near the interface due to their hydrophilic head). Iron ions decomposed 406 peroxides to form alkoxyl (LO[•]) radicals (Equation 12). These interactions accelerated the 407 lipid oxidation rate.

408
$$Fe^{2+} + LOOH \rightarrow LO^{-} + Fe^{3+} + OH^{-}$$
 (12)

409 For instance, at pH 2.5, the initial TBArs value of SDS-emulsion was 12 and 26 times 410 higher than Tween20 and CTAB emulsions. As for Tween20 emulsion, iron ions could still 411 approach the interface through ion-dipole interactions. However, these interactions are weaker 412 than ion-ion interactions (Mei et al., 1998; Schaich, 1992). 413 Moreover, the important oxidation in negatively charged emulsion could be associated 414 with the rapid tocopherol oxidation in such emulsion. Iron ions (attracted by the interface) can 415 interact with tocopherol molecules (binding to SO_3^- group of SDS by hydrogen bond). Tocopherol (AH) can regenerate Fe^{3+} into Fe^{2+} (Equation 13). Ferrous ions are then available 416 417 to induce more oxidation near the interface. $AH + Fe^{3+} \rightarrow A^{\cdot} + Fe^{2+} + H^{+}(13)$ 418 419 Iron complexation and transfer far from the interface can inhibit lipid oxidation. 420 However, in the case, complexed iron (II) was near the interface. With a positively charged 421 interface, tocopherol is more preserved and can react as a radical scavenger (Fukuzawa et al., 422 1988; Kristinova et al., 2014). 423 CD and TBArs values followed the same trend at pH 2.5 and 7.2. The oxidative stability 424 can be ranked in descending order: CTAB > Tween20 > SDS. However, at pH 2.5, the CD 425 and TBArs values were generally higher than those at pH 7.2. For instance, the CD and 426 TBArs values of emulsion with SDS emulsifier were respectively 3 and 10 times higher at 427 acidic pH. As for Tween20 emulsions, the TBArs values present lag phases at pH 7.2 428 (Figure 4b), in contrast, it increased immediately at pH 2.5 (Figure 4a). Similar trends were 429 seen for CTAB emulsions: TBArs values were approx. two times higher at lower pH value. At 430 pH 2.5, citrate was mostly protonated (81% CitH₃), which lead to low iron complexation 431 (Figure 3). Thus, iron could be mainly present as free ions. Iron can then rapidly migrate to 432 the oil-water interface and increase the oxidation level. When the interface is negatively charged (SDS emulsifier) the phenomena are more important. At higher pH, citrate (HCit² & 433

434 Cit³) can complex iron, and by this performs a protective role, oxidation is less important.

435 However, iron is not fully complexed, and therefore oxidation is not totally stopped. Identical

436 results were obtained for corn oil-in-water emulsion (Cho et al., 2003).

437 **4. Conclusion**

438 Iron (endogenous or exogenous) can significantly accelerate the lipid oxidation in 439 oil-in-water emulsions. The iron-oxygen interactions constitute the first step of lipid 440 oxidation. Hence, an absolute reduction of oxygen could limit lipid oxidation even with iron 441 presence. Such a solution is not practical nor economical for food industries. Conversely, the 442 buffer and emulsifier choice can control the oxidation-initiation in emulsions. Buffers chelate 443 iron ions, and in this way impact its position and prooxidant potential. Factors like pH 444 (protonation of chelator; pKa values), chelator's affinity to Fe (II) (Binding constants; $\log\beta$), 445 and the final structure of the complex (number of free coordination sites) influence its 446 stability and catalytic activity. When the complex structure promotes the iron-autoxidation, 447 oxidation initiation by this complex is retarded. In addition, the buffer-iron complexes allow 448 iron migration near the positively charged interface, overcoming the repulsive forces. 449 Therefore, the interface charge has a critical impact on lipid oxidation. Negatively charged 450 interface increases the rate of oxidation by attracting free and chelated irons ions. Besides, 451 α -tocopherol near this interface is closer to iron ions which promote its oxidation and Fe (II) 452 regeneration. Consequently, controlling iron ions interactions with buffers and emulsifiers 453 could help to limit omega-3 LC-PUFA oxidation in food and drinks.

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459 **Conflict of interest**

460 The authors declare that there is no conflict of interest.

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Figure 1. Lipid oxidation as measured by conjugated dienes (CD mmol eq peroxide.Kg⁻¹ of oil)
for 20% (v/v) tuna oil-in-water emulsions with citrate buffer (1 mM) and iron (II) (0.1 mM).
Two conditions were studied: (▲) under control atmosphere, air (dioxygen solubilized in the
emulsion) and (■) after purging with argon (hypoxic condition). Samples were kept in dark at
30 °C. Data are means of three repetitions. Error bars represent standard deviations

a) Oxidation variation depending on buffer choice



8

9 Figure 2. Buffer influence on iron-induced lipid oxidation: (a) <u>Variations of CD value</u> (mmol eq peroxide.Kg⁻¹ oil) of emulsions (20% v/v oil) oxidized with 0.1 mM of iron and kept in dark at 30 °C. Different buffers (<u>1 mM</u>) were used: (•) citrate and (◊) phosphate. Data shown as means of three replicates and the error bars represent the standard deviation (sometimes laying within the points). Arrows indicate the induction times. (b) Distribution of <u>buffer species</u> <u>depending on its pKa values</u>. The pH of all the emulsions was set at 7. Species highlighted are theoretically the most abundant at pH 7

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Figure 3. Impact of the pH level: (a) Variations of zeta potential (mV) of oil-in-water emulsions 19 prepared using the citrate buffer (1 mM) at different pH level (2.5, 3.9, 5.6 and 7.2) and a 20 21 simplified proposed design for the oil-water interface at different pH (iron ions complexation by citrate buffer and transfer to the interface). Iron was added to a final concentration of 22 0.1 mM. Values represent means of 6 repetitions (3 measurements on two different sets). Error 23 bars represent standard deviations; (b) Differential UV spectra of iron (0.1 mM) and citrate 24 (1 mM) solutions, these solutions were similar but at different pH levels, the peak of iron-citrate 25 complex increases when the pH increased 26

27



Figure 4. Variations in the oxidation level of oil-in-water emulsion (20 %v/v tuna oil) as evaluated by the TBArs value (mM of eq MDA.L⁻¹ emulsion) at pH 2.5 (a) and pH 7.2 (b). All emulsions were prepared with citrate buffer (1 mM) with added iron (II) (0.1 mM), and kept in dark at 30 °C. Three different emulsifiers were used: (●) CTAB⁺ (cetyltrimethylammonium bromide, positively charged), (▲) Tween⁰ (Tween20, neutral) and (■) SDS⁻ (sodium dodecylsulfate, negatively charged). Data represent means of three repetitions and error bars are standard deviations

- 1 Table 1. Characterization of tuna oil used in this study: fatty acids composition, tocopherol
- 2 and iron contents

Fatty acids	Mean % wt.	3
14:0	3.43 ± 0.31	
15:0	0.87 ± 0.08	
16:0	19.51 ± 0.53	
17:0	1.17 ± 0.06	
18:0	6.12 ± 0.17	
19:0	0.25 ± 0.04	
Total SFA	31.35	
16:1 (n-7)	5.22 ± 0.38	
17:1	0.66 ± 0.04	
18:1 (n-9)	18.82 ± 0.68	
20:1	1.82 ± 0.15	
22:1	0.28 ± 0.04	
Total MUFA	26.79	
16:2	1.32 ± 0.18	
18:2 (n-6) (LA)	1.92 ± 0.08	
20:4 (n-6)	1.84 ± 0.43	
20:5 (n-3)(EPA)	8.92 ± 0.91	
22:5	1.92 ± 0.07	
22:6 (n-3) (DHA)	25.26 ± 0.63	
Total PUFA	41.17	
DHA/EPA	2.83	
Total n-3	34.18	
Total n-6	3.76	
Iron (mg.Kg ⁻¹ oil)	0.71 ± 0.35	
α-tocopherol (mg.Kg ⁻¹ oil)	1282 ± 209	_