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## Article

# Assessments for the Effect of Mineral Dust on the Spring Heat Waves in the Sahel

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**Abstract:** The physical mechanisms associated with heat waves (HWs) are well known in the midlatitudes but still under-documented in the Sahel. Specifically, the role of anthropogenic and natural changes in tropospheric aerosols regarding HWs remains an issue to address. Our study focuses on the characterisation of the dusty HWs in the Sahel, which generally occur from March to June. The goal is to reinforce or invalidate the assumption proposed in previous studies recently carried out in southern Europe and according to which mineral dust may locally change irradiance at the surface, thus atmospheric temperatures at 2 m, intensifying the HW. The work is carried out in three steps: (i) detect and describe the HW over the 2003–2014 period based on maximum daily 2-m temperatures ( $T_{max}$ ) from ERA-Interim reanalyses; (ii) characterise the dust optical properties during the HW using the Deep Blue aerosols products from MODIS (Moderate Resolution Imaging Spectroradiometre): the Aerosol Optical Depth at 550 nm ( $AOD_{550}$ ), the Angstrom Exponent ( $AE_{440-870}$ ) and the Single Scattering Albedo at 412 nm ( $SSA_{412}$ ) as a proxy of quantity over atmospheric column, size and absorption of aerosols, respectively; (iii) relate HW intensity to the aerosol conditions during the HW. Over the 12-year study period, 14 HWs are detected when  $T_{max}$  exceeds the 90th percentile ( $P_{90}$ ). The HWs are dusty with  $AOD_{550}$  ranging between 0.46 and 1.17 and all the dust types are absorbent with a  $SSA_{412}$  value of 0.93 (round to hundredths). The HW classification according to aerosol conditions gave three HWs: Type 1 corresponds to Pure Dust Situation (PDS with  $AE_{440-870} = 0.1$ ), Type 2 and Type 3 are associated with Mixed Situation (MS) with dominance of Coarse Particles (CP with  $AE_{440-870} = 0.35$ ) and Fine Particles (FP with  $AE_{440-870} = 0.65$ ), respectively. The main result obtained is that the intensity of the dusty HW, computed as the difference between daily  $T_{max}$  and its  $P_{90}$  ( $T_{max} - P_{90}$ ), is higher for Type 1 HW (+1.1 °C) in the case of the most absorbent aerosol situation ( $SSA_{412} = 0.931$ ). A non-significant difference between Type 2 and Type 3 especially for temperature (+0.5 °C and +0.4 °C, respectively) and  $SSA$  (0.938 and 0.935, respectively) is observed and, during these mixing situations, the HWs are less intense than those during the PDS. Finally, the analysis of two huge Type 1 HWs in 2007 and 2010 shows that dust mass concentrations at the surface were particularly high, up to 214  $\mu\text{g}/\text{m}^3$  on average. These findings enable us to assess that highly absorbent and concentrated pure dust situations observed in spring in the Sahel may have a potential warming effect at the surface.

**Keywords:** Sahel; heat waves; dust; remote sensing; aerosol optical properties



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## 1. Introduction

Heat waves (HWs) have attracted the interest of the scientific community after the event of 2003 in Western Europe [1] and 2010 in Russia [2]. Interest in HWs in developing countries, especially in West Africa, is more recent, whereas the mean climate is warmer

and the health risk is relatively high [3]. The time evolution of  $T_{max}$  (maximum daily 2 m atmospheric temperature) and  $T_{min}$  (minimum daily 2 m atmospheric temperature) from 1961 to 2014 in West Africa show positive trends,  $+0.021\text{ }^{\circ}\text{C}/\text{year}$  and  $+0.028\text{ }^{\circ}\text{C}/\text{year}$ , respectively [4–6]. A better knowledge of the HW phenomena in West Africa could improve the management of the associated effects. However, if the physical mechanisms associated with HWs are well known in the midlatitudes, the processes controlling warm temperature anomalies in the Sahel are still under-documented [5]. First, the spring HWs in West Africa seem to be associated with the Rossby wave, which causes midtropospheric subsidence and anticyclonic rotation over the continent [4]. Second, if the low-frequency variations of warm extremes in West Africa can be attributed to global warming, their high-frequency variations tend to follow warm ENSO (El Nino-Southern Oscillation) events [6]. The underlying trend in temperature anomalies (which are not explained by these factors) could be related to anthropogenic and natural changes in atmospheric greenhouse gas (GHG) concentrations among which is water vapour [4–6].

Aerosols may also locally change irradiance at the surface. Sousa et al. [7] remarked that the HWs in Spain in 2018 and 2019 were the result of warm air mass intrusion with above-normal Saharan dust mass concentrations, suggesting that this could have effects on the temperature elevation at the surface. The same observation was carried out during the 2011 (from 4 to 7 April 2011) extreme mineral dust episode over Portugal [8]. These results need comprehensive analysis as they are not intuitive. Indeed, the tropospheric aerosols are well known to impact radiative transfer processes in the atmosphere by reflecting and absorbing solar ( $0.1\text{--}3\text{ }\mu\text{m}$ , Short Wave, SW) and telluric ( $3\text{--}100\text{ }\mu\text{m}$ , Long Wave, LW) radiation in highly variable proportions [9]. This implies either a negative (cooling) or a positive (warming) radiative forcing depending on the size, composition, shape and quantity of the aerosols in the atmosphere [10]. For mineral dust, the radiative efficiency at the surface, defined as the instantaneous change in radiative flux due to the presence of dust compared to a free-dust atmosphere, is always negative in the SW and, to a certain extent, positive in the LW [11]. Thus, the scientific community admitted that the global dust radiative forcing is positive in the atmosphere and negative at the surface. Even so, estimating the radiative forcing due to dust is still challenging [12], notably because there are few measurements, particularly in the LW, simultaneously with SW measurements, and during dust events in the Sahel. The simulation of radiative efficiency in the LW is not easy either—it can vary by a factor of 2 to 3—as it depends on the dust optical properties, notably absorption, which are poorly documented in the infrared [13], the underlying surface albedo, the presence of clouds and the vertical profile of aerosols [14]. The balance between the different parts of the spectrum can also vary over the day and radiative efficiency in plumes of dust can be considerably larger than that measured by other longer temporal timescales [11].

The purpose of this study is to enlarge our knowledge of the HW in the Sahel from reanalysis by identifying to what extent the warm days are associated with mineral dust. Further, we investigate the aerosol type and estimate their quantity from remote sensing during the HW in order to reinforce or invalidate the assumption according to which above normal Saharan dust amount could impact the atmospheric temperature elevation at the surface [7] in West Africa. This paper is structured as follows. Section 2 presents the datasets used in this study. Section 3.1 presents the study area and its mean characteristics in terms of temperatures and aerosols. Section 3.2 describes the method used to define and characterise the HW over the 2003–2014 period. Section 4.1 focuses on the analysis of the aerosol conditions during each HW detected. Section 5 is a discussion that deals with the altitude of the dust aerosols (Section 5.1) and illustrates the specific case of the huge and historic 2010 HW in the Sahel (Section 5.2). Section 6 is the conclusion/perspectives that ends the study.

## 2. Presentation of the Datasets

### 2.1. Atmospheric Temperature Dataset from Reanalysis

We use  $T_{max}$  from European Centre for Medium-Range Weather Forecasts (ECMWF) interim reanalysis (ERA-Interim) [15] for the 2003–2014 period at the daily timescale from March to June. The data assimilation system used to produce this data is based on a 2006 version of the Integrated Forecasting System which includes a four-dimensional analysis with a 12 h analysis window. The spatial resolution of the dataset is  $0.75^\circ$  (approximately 80 km) over 60 vertical levels from the surface up to 0.1 hPa. ERA-Interim products are normally updated once a month to ensure quality and correct technical issues in data production. This product has already been used to study HWs on the whole African continent by Ceccherini et al. [16] and in the Sahel by Oueslati et al. [5]. They found a good accordance between daily observed and reanalysed minimum and maximum temperatures.

### 2.2. Aerosol Datasets from Remote Sensing and In Situ Measurements

#### 2.2.1. MODIS Products

We use the Deep Blue (DB) Collection in version 6.0 10 km aerosol products from MODIS/Aqua (Moderate Resolution Imaging Spectroradiometer) for the 2003–2014 period. These products are performant over both bright surfaces such as desert and dark surfaces such as vegetation, which enables a unique spatial coverage over Africa. The DB algorithm takes advantage of a refined land surface scheme based on the NDVI (Normalized Difference Vegetation Index) and spectral surface reflectance library [17]. According to Shi et al. [18], the number of retrievals with “very good” quality assurance flags has roughly doubled. Among the DB products, we chose to work with the “land best estimate” aerosol products, which include pixels that have passed quality assurance tests (QA = 2.3) and are anticipated by the majority of data users. The interest variables are Aerosol Optical Depth at 550 nm ( $AOD_{550}$ ) as a proxy of the aerosol loading within the atmospheric column. The Angstrom Exponent ( $AE_{440-870}$ ) is an indicator of the aerosol size, and the Single Scattering Albedo at 412 nm ( $SSA_{412}$ ) as the ratio of scattering efficiency to total extinction efficiency is also an essential parameter used to reflect the aerosol absorption. The uncertainties of DB AOD retrievals are listed as  $\pm 0.05 \pm 20\%$  at the global scale [17].

#### 2.2.2. Aerosol Optical Thickness from the AEROSOL ROBOTIC NETWORK

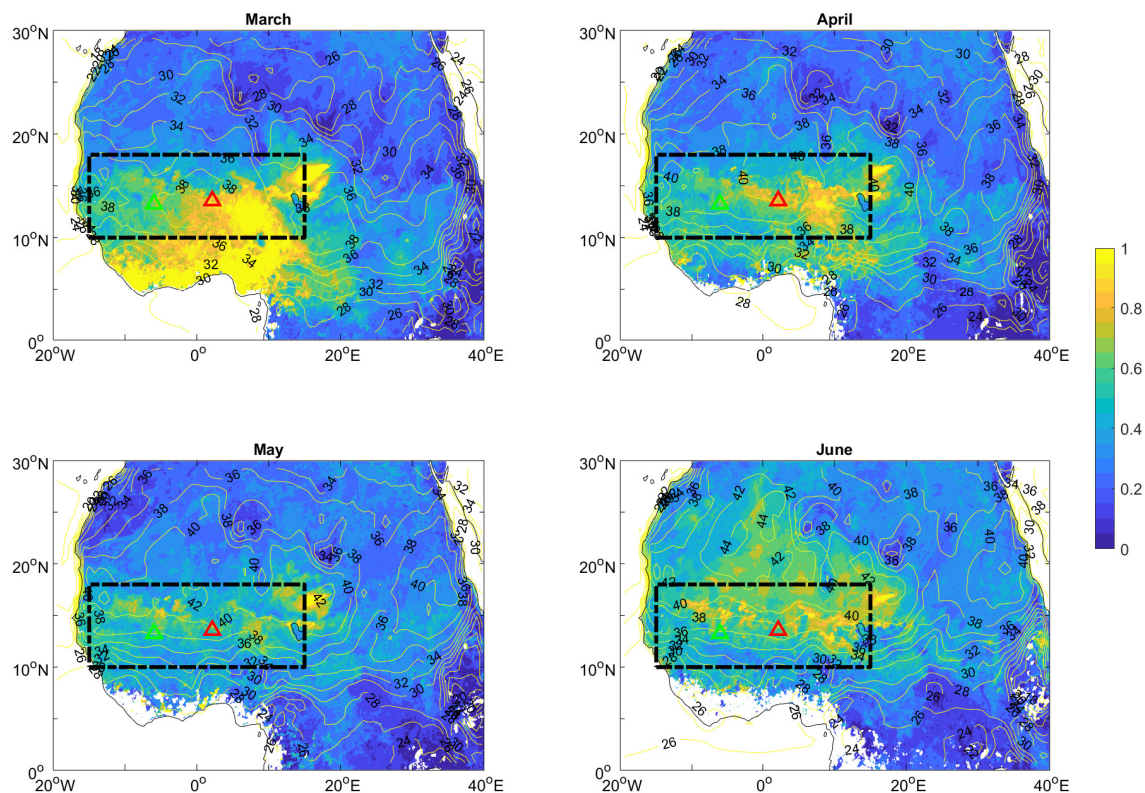
The AEROSOL ROBOTIC NETWORK (AERONET) is the world reference for aerosol monitoring [19–21]. The AERONET is deployed at more than 500 stations worldwide and has also provided information on the AOD since the 1990s (1993) at 15-min time steps. The measurements of this network are acquired by means of a sunphotometer or heliophotometer performing measurements of solar irradiance at several wavelengths from visible to near infrared. This implies that measurements are only available during the day (diurnal measurements). AERONET products are available in three levels: level 1.0, 1.5 and 2.0. Level 2.0 is the highest quality level as the measurements have undergone cloud screening and data quality control. Note that level 1.5 data have also undergone cloud screening but without data quality assurance. In our study, we mobilise the optical thickness data of aerosols at level 2.0 for two stations located in West Africa (Figure 1): Banizoumbou station in Niger ( $13.547^\circ$  N,  $2.665^\circ$  E) and Cinzana in Mali ( $13.278^\circ$  N,  $5.934^\circ$  W).

In order to obtain the  $AOD_{550}$  from the AERONET, we assume that the spectral dependency of aerosols between 440 nm and 870 nm is equal to that between 550 nm and 870 nm. Spectral dependency is referred to as  $AE_{440-870}$  (Angstrom Exponent between 440 and 870 nm) in the following formula:

$$AOD_{870} \times \left(\frac{550}{870}\right)^{-AE_{440-870}} \quad (1)$$

We used the AOD from the AERONET datasets in order to feed a discussion (Section 5) on the vertical distribution of aerosol optical properties in the atmospheric column (see Section 5.1).





**Figure 1.** Daily mean values of  $T_{max}$  and  $AOD_{550}$  for a monthly mean value in March, April, May and June over the 2003–2014 period in West Africa. Our study area is defined as the black rectangle ( $10^{\circ}$  N– $18^{\circ}$  N of latitude and  $15^{\circ}$  W– $15^{\circ}$  E of longitude). The sun-photometric stations of Banizoumbou in Niger ( $13.547^{\circ}$  N,  $2.665^{\circ}$  E) are represented by a red triangle and the station of Cinzana in Mali ( $13.278^{\circ}$  N,  $5.934^{\circ}$  W) by a green triangle.

### 2.2.3. $PM_{10}$ Mass Concentrations at the Surface from the Sahelian Dust Transect

The Sahelian Dust Transect (SDT) is a network dedicated to monitoring mineral dust in the Sahel, particularly the particulate matter lower than  $10\ \mu\text{m}$  in diameter ( $PM_{10}$ ). It was deployed in 2006 as part of the international AMMA (African Monsoon Multidisciplinary Analysis) program. It comprises four ground stations located on an east–west trajectory along the path of Saharan and Sahelian dust plumes as they are transported towards the Atlantic Ocean: Banizoumbou (Niger), Cinzana (Mali), M’Bour and Bambey (Senegal). A similar station was recently deployed in southern Tunisia (Médenine, Tunisia) [22]. The stations are all equipped with AERONET sun photometers. In this study, we considered the data from the two Sahelian sites of Banizoumbou and Cinzana because the aerosol concentrations are not affected by oceanic influences and anthropogenic aerosol sources. The  $PM_{10}$  concentration is determined at a 5 min time-step by a tapered element oscillating microbalance (TEOM) instrument equipped with a  $PM_{10}$  inlet [22]. We used the  $PM_{10}$  measurements from the SDT to feed a discussion (Section 5) on the vertical distribution of the aerosols (see Section 5.1).

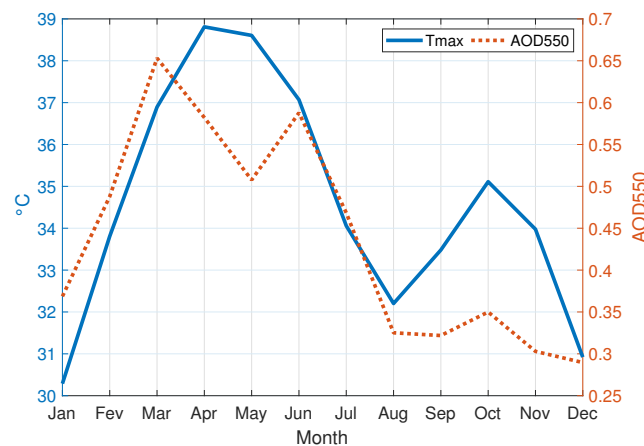
## 3. Study Area and Methods

### 3.1. Study Area Description

The study area covers a region between  $10^{\circ}$  N– $18^{\circ}$  N and  $15^{\circ}$  W– $15^{\circ}$  E stretching from Senegal in the west to Niger in the east and bounded in the north by the Sahara and in the south by the Gulf of Guinea (Figure 1). This region is chosen because it presents the largest number of HWs over the West African domain [4,23,24] and it is impacted by various dust sources, notably the Saharan source and the Bodele source. Average monthly temperatures over the 2003–2014 period range from  $32^{\circ}\text{C}$  to  $42^{\circ}\text{C}$  during March, April, May and June

with relatively high AOD from east to west, up to 1 in some places, particularly in Mali, Burkina-Faso and Niger.

The mean annual cycle of temperatures indicates that the warm season occurs in spring, particularly between March and June with  $T_{max}$  above 35 °C, and a maximum observed in April with  $T_{max}$  up to 39 °C (Figure 2). It is the time of the year when the net radiation is the highest and corresponds to the period when the HWs are generally recorded [23]. Then, the temperature decreases during the monsoon season from July to September due to the rainfall and cloudiness which cools the atmosphere [25]. During the warm season, the aerosol mean seasonal cycle indicates that the  $AOD_{550}$ , the proxy of the aerosols quantity over the atmospheric column, are at a maximum between March ( $\sim 0.65$ ) and June ( $\sim 0.60$ ) (Figure 2). Figure 1 shows that the March maximum occurs during the dry season when the dry Northeast trades, called Harmattan, blow over the Sahara and the Sahel, carrying huge amounts of mineral dust particles in the lower levels of the atmosphere [26,27] with maximum  $PM_{10}$  concentrations [22]. In March,  $AOD_{550}$  above 0.6 extends from the Bodele depression in Niger (South of the Chad lake) to the southwest near the Atlantic coast, in agreement with the study by Prospero et al. [28] who highlighted the maximum activity in winter for the Bodele source. One can note the very high values of  $AOD_{550}$  along the Guinean Coast (around 1.5), probably due to the occurrence of black carbon aerosols related to bush fires rather than dust [29]. The June maximum occurs when the monsoon uplifts mineral dust which is mixed over the atmospheric column. From March to June, the mineral dust mass concentration from the particle analyser TEOM (Tapered Element Oscillating Microbalance), can reach 500  $\mu\text{g}/\text{m}^3/\text{hour}$  [22,29], which is more than 10 times higher than the European standards for air quality [30].



**Figure 2.** Mean annual cycle of  $T_{max}$  and  $AOD_{550}$  over the 2003–2014 period in the study area defined by 10° N–18° N of latitude and 15° W–15° E of longitude (black rectangle in Figure 1).

### 3.2. Heat Wave Definition and Categorization

We considered a HW as a period of at least 3 consecutive days above a daily threshold defined as the 90th percentile (P90) of daily  $T_{max}$ , centred on a 31-day window, as in Russo et al. [31].  $T_{max}$  and P90 are averaged in the study region. The three-day duration has been shown to be well adapted to the West African region [4]. The goal here is to study the duration as well as the intensity of the HW in respect to the aerosol properties. To characterise the HW intensity, we used the  $T_{max}$  minus the P90 difference during the HW length.

After detecting the HW, MODIS aerosol products can be successfully used to indicate the presence of dust [32]. For each HW event identified, we combined the  $AOD_{550}$  and the  $AE_{440-870}$  in order to identify dust aerosols during HWs by each pixel. According to Eck et al. [33], the desert dust can be characterised by a very high AOD concomitantly with low AE, i.e., a high quantity of desert dust in the atmosphere.

In the Sahelian context, a day is considered very dusty if the  $AOD_{550} \geq 1$ , dusty if the  $AOD_{550}$  is between 0.5 and 1 and not very dusty if the  $AOD_{550} < 0.5$  [27]. Regarding aerosol composition, fine particles correspond to  $AE_{440-870} > 0.5$  and coarse particles to  $AE_{440-870} < 0.5$  [19]. This information on  $AOD_{550}$  and  $AE_{440-870}$  is combined to define the type of each HW: Type 1, Type 2 and Type 3 (see Section 4.1 for details).

## 4. Results

### 4.1. Aerosol Characteristics during the Spring Heat Waves

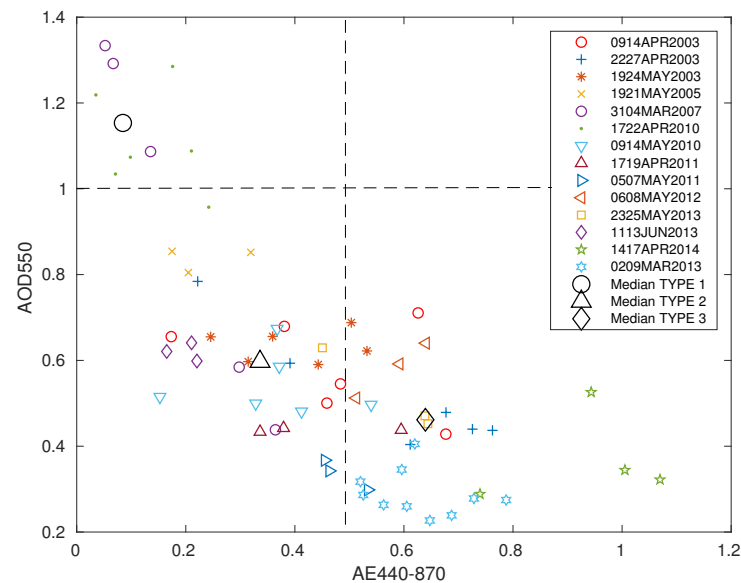
Table 1 reports the main characteristics of the HW in 2003–2014. The first column (Date) reports the start date, the end date, the month and the year of each HW. In the following columns, the number of HW days (Duration) and the mean  $T_{max}$  allow us to characterise each event. The total number of HWs detected is 14, which represents 66 days over a total of 1440, i.e., approximately 5% of the days for the March–June months. Most of the HWs occur in April (five events) and May (six events). Their mean duration is 4.7 days and the mean HW  $T_{max}$  is 40.1 °C, i.e., 5 °C above the  $T_{max}$  climatology (35.2 °C). According to the Expert Team on Climate Change Detection and Indices (ETCCDI) [34,35], the 35 °C threshold for  $T_{max}$  characterises hot days. We note that HWs have become more frequent since 2010: 9 HWs out of 14 have been detected between 2010 and 2014.

Table 1 also presents the category of each HW regarding the aerosol optical properties (Figure 3). The relationship between  $AOD_{550}$  and  $AE_{440-870}$  is consistent with the literature in this area of the world [22,36]. Among the 66 HW days, those with  $AOD_{550} \geq 1$  and  $AE_{440-870} < 0.5$  are defined as Type 1, those with  $AOD_{550} < 1$  and  $AE_{440-870} < 0.5$  as Type 2 and those with  $AOD_{550} < 1$  and  $AE_{440-870} \geq 0.5$  as Type 3.

**Table 1.** Characteristics of the heat wave detected in the study area, defined as 10° N–18° N of latitude and 15° W–15° E of longitude, for the 2003–2014 period, between the months of March and June. Column ‘Date’: first and last day of the HW, with the month written as APR for April, MAY for May and JUN for June, and the year. Column ‘Duration’: length in days of the HW event. Column ‘Mean  $T_{max}$ ’: mean daily maximum atmospheric temperature at 2 m during the HW (in degrees Celsius). Column ‘Type’: give the number (1, 2 or 3) of the type attributed to the HW. A HW is attributed to Type 1 if the median values of  $AOD_{550}$  HW days  $\geq 1$  and the median values of  $AE_{440-870}$  HW days  $< 0.5$ . A HW is attributed to Type 2 if the median values of  $AOD_{550}$  HW days  $< 1$  and the median values of  $AE_{440-870}$  HW days  $< 0.5$ . A HW is attributed to Type 3 if the median values of  $AOD_{550}$  HW days  $< 1$  and the median values of  $AE_{440-870}$  HW days  $> 0.5$ . The bold dates in the Column ‘Date’ indicate the HW characterised by HW days belonging to a sole type (see Section 4.1 for the detailed explanation). The number of HW days by type: 11 (Type 1), 24 (Type 2) and 31 (Type 3).

Date	Duration	Mean $T_{max}$	Type
9–14 APR 2003	6	41.5	2
22–27 APR 2003	6	40.8	3
<b>19–24 MAY 2003</b>	6	40.4	3
<b>19–21 MAY 2005</b>	3	40.3	2
31–04 MAR 2007 <sup>1</sup>	5	40.7	1
17–22 APR 2010	6	41.1	1
<b>09–14 MAY 2010</b>	6	40.4	2
<b>17–19 APR 2011</b>	3	40.4	2
5–7 MAY 2011	3	40.6	3
<b>6–8 MAY 2012</b>	3	40.6	3
<b>23–25 MAY 2013</b>	3	40.7	2
11–13 JUN 2013	3	39.3	2
2–10 MAR 2013	9	38.9	3
<b>14–17 APR 2014</b>	4	40.2	3

<sup>1</sup> 31 March to 4 April 2007.



**Figure 3.** Characterization of aerosols during each HW day based on the  $AOD_{550}$  (Y-axis) and the  $AE_{440-870}$  (X-axis). The dashed horizontal (vertical) line indicates the threshold used for the  $AOD_{550}$  ( $AE_{440-870}$ ) to define the aerosol type. If the  $AOD_{550} \geq 1$  and the  $AE_{440-870} < 0.5$ , the HW day is attributed to Type 1. If  $AOD_{550} < 1$  and  $AE_{440-870} < 0.5$ , the HW day is attributed to Type 2. If the  $AOD_{550} < 1$  and the  $AE_{440-870} > 0.5$ , the HW day is attributed to Type 3. The median values of the  $AOD_{550}$  and the  $AE_{440-870}$  for Type 1 (large black circle), 2 (large black triangle) and 3 (large black lozenge) are reported in the appropriate quadrant.

As the days of a given HW can be assigned to two different types (Figure 3), we have used the median value to assign them to the corresponding type. For instance, the first HW detected from 9 to 14 April 2003 (Table 1, first line; Figure 3, red circle) is composed of Type 2 (4 days) and Type 3 (2 days). The final attribution for this HW is Type 2 because the median values for  $AOD_{550}$  and  $AE_{440-870}$  are  $< 1$  and  $< 0.5$ , respectively. Following the classification, two HWs are attributed to Type 1, in 2007 and 2010, when the spring recorded historical high temperatures in West Africa [4]. Six HWs are assigned to Type 2 and six to Type 3 (Table 1).

Type 1 HWs are characterised by a median  $AOD_{550}$  of 1.17 and a median  $AE_{440-870}$  of 0.10. The median  $SSA_{412}$  and the HW intensity ( $T_{max} - P90$ ) correspond, respectively, to 0.931 and  $+1.1$  °C. For Type 2, the  $AOD_{550}$  are almost half of those observed in Type 1 with 0.6 with an  $AE_{440-870}$  of 0.35. The  $SSA_{412}$  and the intensity are, respectively, measured at 0.938 (seven-thousandths higher than Type 1) and  $+0.5$  °C. As for Type 3, the  $AOD_{550}$  is even lower with 0.46. The  $AE_{440-870}$  is estimated at 0.65. On the other hand, the  $SSA_{412}$  is three-thousandths lower than that of Type 2 with 0.935 and the estimated intensity is  $+0.4$ . These different characteristics are summarised in Table 2.

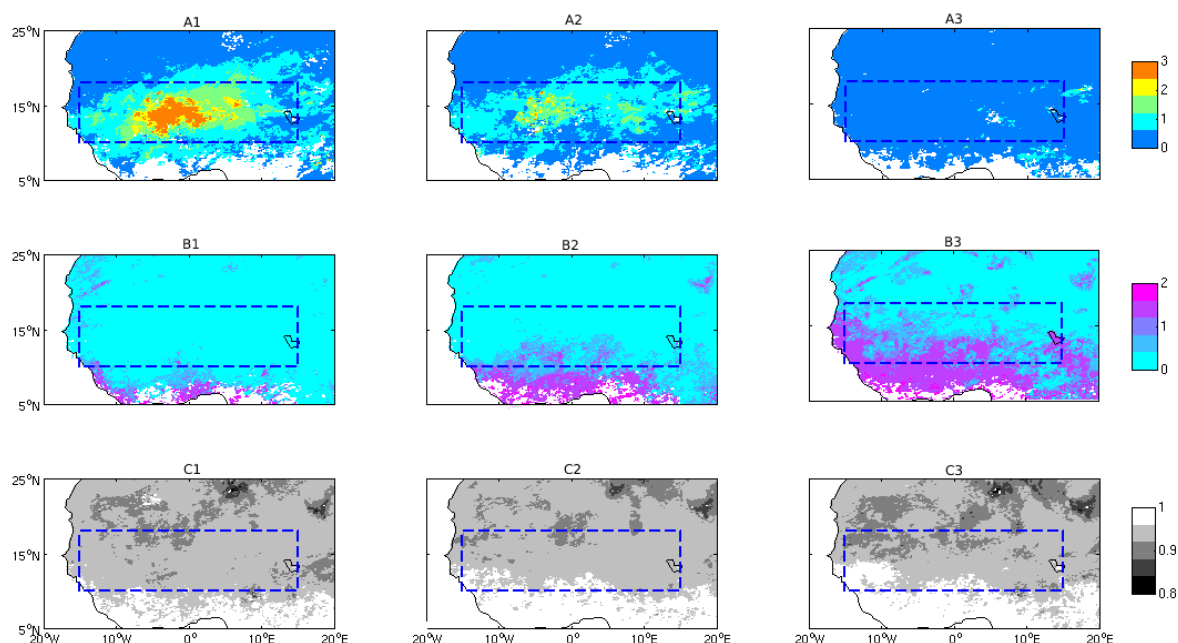
**Table 2.** Median values of the aerosol properties ( $AOD_{550}$ ,  $AE_{440-870}$ ,  $SSA_{412}$ ) and HW intensity ( $T_{max} - P90$ ), in degrees Celsius) for Type 1, Type 2 and Type 3 HWs (see Table 1 for the attribution criteria).

	$AOD_{550}$	$AE_{440-870}$	$SSA_{412}$	$T_{max} - P90$
Type 1	1.17	0.10	0.931	$+1.1$
Type 2	0.60	0.35	0.938	$+0.5$
Type 3	0.46	0.65	0.935	$+0.4$

Figure 4 shows the spatial extent of each type of HW according to  $AOD_{550}$ ,  $AE_{440-870}$  and  $SSA_{412}$ . Type 1 is marked by a high level of dust, with 98.7% of pixels containing an



$AOD_{550} > 1$  and up to 3 in the centre of the study area (Figure 4(A1)). This type corresponds mainly to a pure dust situation (PDS) with 94.7% of pixels containing an  $AE_{440-870} < 0.5$  (Figure 4(B1)). Type 2 HWs are also characterised by a strong presence of dust, although this remains lower than in Type 1. Indeed, during these HWs, 96.5% of the study area recorded  $AOD_{550} > 1$  (Figure 4(A2)). Like Type 1, Type 2 HWs are regional phenomena with a large spatial scale. However, the composition of the dust during Type 2 events is characterised by a mixture of coarse and fine particles (Figure 4(B2)). Spatial analysis shows this mixed situation with a predominance of coarse particles (MS-CP), with 63.9% of pixels made up of coarse particles ( $AE_{440-870} > 0.5$ ) and 36.1% of fine particles ( $AE_{440-870} < 0.5$ ). For Type 3 HWs, the situation is quite different with lower  $AOD_{550}$ s, with almost all pixels recording  $AOD_{550} \leq 0.5$  (Figure 4(A3)). As in the case of Type 3 HWs, a mixed situation is also observed, but this time with a predominance of fine particles (MS-FP) (Figure 4(B3)). In fact, only 43.8% of the pixels contained coarse particles ( $AE_{440-870} < 0.5$ ) compared with 56.2% with fine particles ( $AE_{440-870} > 0.5$ ).



**Figure 4.** Averaged aerosol properties in West Africa for the 3 HW types previously identified in Table 1. The map of the mean  $AOD_{550}$  is represented for Type 1 HW in A1, for Type 2 HW in A2 and for Type 3 HW in A3. The map of the mean  $AE_{440-870}$  is represented for Type 1 HW in B1, for Type 2 HW in B2 and for Type 3 HW in B3. The map of the mean  $SSA_{412}$  is represented for Type 1 HW in C1, for Type 2 HW in C2 and for Type 3 HW in C3. Our study area is materialized as the blue rectangle (10° N–18° N of latitude and 15° W–15° E).

The annual dust cycle correlates well with changes in near-surface convergence associated with the annual north–south movement of the Inter-Tropical Convergence Zone (ITCZ) [37]. The analysis of the mixing situations (MS-CP and MS-FP) shows that there is an intrusion of fine particles from the southern part of the study area coming from the Gulf of Guinea. This situation is typical of that encountered during the transition between the Harmattan and the Monsoon regimes with winds blowing from south to north transporting biomass burning due to fires from the Gulf of Guinea to the Sahel [33]. The difference between the two mixing situations could simply be linked to the fact that the only HW recorded in March (02–09MAR2013) is precisely a Type 3 HW. March is a month in which intrusions of biomass burning from the south are most likely [38].

Note that the absorbing characteristics of aerosols ( $SSA_{412}$ ) are very close for Type 1 (Figure 4(C1)), Type 2 (Figure 4(C2)) and Type 3 (Figure 4(C3)). This is due to the MODIS

Deep Blue inversion which tends to overestimate  $SSA_{412}$  when compared to the 0.85 value found by Di Bagio et al. (2019) [39] for pure dust based on a large in situ measurements campaign conducted in 19 Sahelian sites. For a mixed situation, the  $SSA_{412}$  is found to range from 0.85 to 0.90 (e.g., [40]).

#### 4.2. Relationship between Heat Wave Intensity and Aerosol Type and Quantity

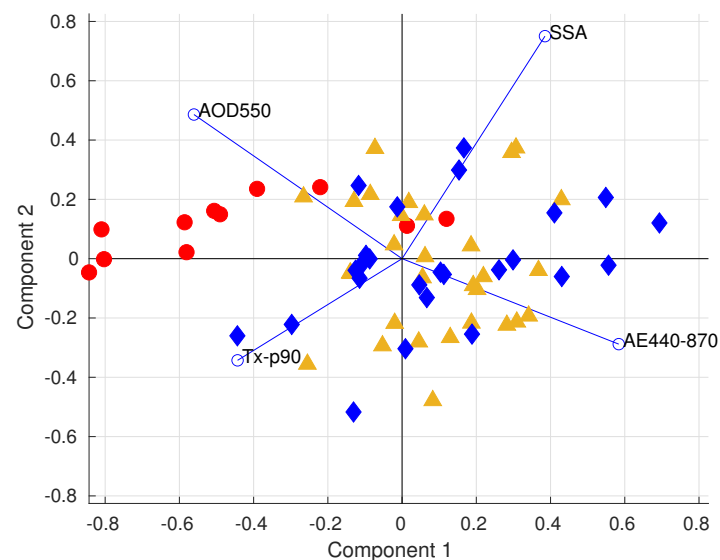
We question here the potential relationship between the intensity of the HW and the type of the HW: are the most intense HWs associated with the most absorbent aerosol situations? The intensity of the HW is estimated by the  $T_{max}-P90$  difference. We chose the 90th percentile because it is the threshold used to detect the HW [41].

Table 2 shows that Type 1 HWs, corresponding to a PDS (with  $SSA_{412}$  median of 0.931), is the most intense HW (+1.1 °C). Each day of the two HWs of this type is rather similar in terms of  $AOD_{550}$  (between 1 and 1.4),  $AE_{440-870}$  (between 0 and 0.2) and  $SSA_{412}$  (between 0.920 and 0.936). Conversely, Type 2 and Type 3 ( $SSA_{412}$  median of 0.938 and 0.935, respectively) are less intense than Type 1 (+0.5 °C and +0.4 °C, respectively). Does this mean that PDS may have a warming effect on HWs? Further, does this mean that absorbing mixed situations, which combines desert dust and potentially biomass burning (MS-CP and MS-FP), may also cause a warming effect, to a lesser extent?

At this stage, we need to be cautious with these results as the MODIS Deep Blue SSA inversions, for all types, appear in the same SSA range. A study by Di Bagio et al. [39] based on dust samples in 19 sites throughout the world found, in the Sahel, SSA values varying from 0.81 in the UV to 0.97 in the near infrared. For a mixed situation, the  $SSA_{440}$  ranged from 0.85 to 0.90 [40]. The particles observed by MODIS DB products during the HW are therefore much less absorbent than what is described in the literature. This is not that surprising as the SSA at each wavelength is prescribed as a function of location and season, unless heavy dust is detected, in which case, a maximum likelihood method is used to pick from a suite of aerosol optical models [42].

To synthesize the relationship between the HW intensity and the aerosol conditions through the  $AOD_{550}$ , the  $AE_{440-870}$  and the  $SSA_{412}$ , we present the results of a Principal Component Analysis (PCA) [43]. Figure 5 is the scatter plot of the two first principal components which take into account, respectively, 55.3% and 22.3% of the total variance. The variable markers are displayed as segments with large blue circles. The red circles, blue diamonds and orange triangles are, respectively, the markers of Type 1, Type 2 and Type 3 HW days. The correlation coefficients between the variables and Component 1 (horizontal axis) are  $-0.83$  and  $-0.66$  for  $AOD_{550}$  and  $T_{max}-P90$ , whereas they are  $0.87$  and  $0.57$  for  $AE_{440-870}$  and  $SSA_{412}$ .  $SSA_{412}$  is rather, and strongly, correlated ( $0.71$ ) to Component 2 (vertical axis). We can therefore notice that  $AOD_{550}$  and  $AE_{440-870}$  are of opposite sign on Component 1. As a result, the current PCA highlights that Component 1 (left side) associates very dusty warm days, i.e., high  $AOD_{550}$ , with coarse particles, i.e., low  $AE_{440-870}$ , and (right side) less dusty warm days, i.e., lower  $AOD_{550}$ , with fine particles, i.e., higher  $AE_{440-870}$ . Indeed, most of the days of Type 1 are on the left side, whereas the days of Type 2 and Type 3 are mainly displayed along the right side of Component 1. Component 2 is structured by the  $SSA_{412}$  and is associated with the Type 2 and Type 3 days, illustrating their relatively high  $SSA_{412}$  (less absorption than for Type 1 days).

Finally, Figure 5 shows a clear statistical association between the type of HW and the PDS, supporting the hypothesis developed in this study.



**Figure 5.** Principal plane of the Principal Component Analysis based on the following variables (blue empty circles on the quadrants): the HW intensity ( $T_{max}-P90$ ), the  $AOD_{550}$ , the  $AE_{440-870}$  and the  $SSA_{412}$ . The red circles, yellow triangles and blue lozenges stand for the Type 1, Type 2 and Type 3 HW days, respectively. The principal plane explains 77.6% of the total variance with Component 1 at 55.3% and Component 2 at 22.3%.

## 5. Discussion

### 5.1. Assumption on the Aerosol Vertical Distribution: Focus on Type 1 Heat Waves

According to the previous results, Type 1 HW, from 31 March to 4 April 2007 and from April 17 to 22 2010, may present the strongest heating. Could it be in connection with a high quantity of coarse and highly absorbent aerosols referred to as PDS in this article? As the  $AOD_{550}$  is integrated over the atmospheric column, one important point to consider here is the altitude of the aerosols. Indeed, at high altitude, aerosols will be more likely to warm the upper layers of the atmosphere and cool the surface layers. Simulations over West Africa for the summer months, during which dust transport occurs at high altitudes [44–46] show that dust episodes associated with intense convective events such as squall lines have a positive radiative force in the atmosphere, and a negative radiative force at the surface. Conversely, dust particles near the ground may have a positive impact on the surface atmospheric temperature related to the LW radiation emission [47]. This may then intensify the HW.

In order to characterise the altitude of aerosols during Type 1 HWs, we used the method of Deroubaix et al. (2013) [36],  $PM_{10}$  surface concentrations, AOD from the AERONET [48] and AOD inverted from MODIS. For the two Type 1 HWs, we extracted the level-two cloud-free bias-noise-reduced AERONET AOD [49] and the SDT  $PM_{10}$  measurements at  $\pm 1$  h around the satellite overpass (13.30 p.m. local time) in two stations in Cinzana (Mali) and Banizoumbou (Niger).

Table 3 shows that during the 2007 HW, the MODIS  $AOD_{550}$  is high both in Cinzana (3.1) and in Banizoumbou (0.6). This result is retrieved in the AERONET ground-based measurements in Cinzana (1.6) and Banizoumbou (2.7), indicating that dust particles are in high quantity both at the surface and in the upper atmospheric layers in the two Sahelian sites. One can note here the specific case of Banizoumbou, with a very high  $AOD_{550}$  detected from the AERONET (2.7) compared to that from MODIS (0.6), suggesting that aerosols may be more concentrated at the surface in this station than in Cinzana. The surface  $PM_{10}$  measurements confirm this assumption. In Cinzana, the  $PM_{10}$  concentration during the 2007 HW is  $183 \mu\text{g}/\text{m}^3$ , whereas in Banizoumbou it reaches  $380 \mu\text{g}/\text{m}^3$ . In both cases, the  $PM_{10}$  concentrations measured are above the normal  $PM_{10}$  ( $144 \mu\text{g}/\text{m}^3$  for

Cinzana and  $189 \mu\text{g}/\text{m}^3$  for Banizoumbou). As a result, during the 2007 HW, dust is found to be in high concentration at the surface over the study region.

During the 2010 HW, the conclusion is similar, with  $\text{PM}_{10}$  surface concentrations considerably higher than the normal  $\text{PM}_{10}$ :  $1745 \mu\text{g}/\text{m}^3$  (2010 HW) versus  $157 \mu\text{g}/\text{m}^3$  (normal) in Cinzana and  $565 \mu\text{g}/\text{m}^3$  (2010 HW) versus  $214 \mu\text{g}/\text{m}^3$  (normal) in Banizoumbou. This emphasises the specificity of the 2010 HW compared to 2007, which experiences lower  $\text{PM}_{10}$  surface concentrations. This result is supported by the higher intensity of the 2010 HW ( $+1.03^\circ\text{C}$ ) compared to that in 2007 ( $+0.85^\circ\text{C}$ ) at the scale of the Sahel window. As highlighted by Meloni et al. (2018) [13], the dust radiative effect in infrared is non-negligible, inducing a positive radiative force at the surface. Our results are consistent with their findings. Specific effort should be made to access spatialized  $\text{PM}_{10}$  concentrations at the surface in order to better understand their effect on  $T_{\text{max}}$ . Satellites provide maps of integrated aerosol products over the column but not concentrations at the surface. The next section, based on the CAMS (Copernicus Atmosphere Monitoring Service) [46] illustrates the spatial pattern of  $\text{PM}_{10}$  concentrations for the 2010 HW.

**Table 3.** Averaged values of aerosol properties during the two Type 1 HWs: from 31 March to 4 April 2007, from 17 April to 22 April 2010. The  $\text{AOD}_{550}$  are inverted from remote sensing (daily MODIS/Aqua products) or measured from the in situ AERONET sun-photometers at a 15-min time-step (see Section 2.2.2 for details). The  $\text{PM}_{10}$  mass concentrations (in  $\mu\text{g}/\text{m}^3$ ) are measured from the Sahelian Dust Transect TEOM at a 5-minute time-step (see Section 2.2.3 for details). The AERONET and TEOM measurements are available in two stations in Banizoumbou/Niger ( $13.547^\circ\text{N}$ ,  $2.665^\circ\text{E}$ ) and Cinzana/Mali ( $13.278^\circ\text{N}$ ,  $5.934^\circ\text{W}$ ). The ‘Normal TEOM  $\text{PM}_{10}$ ’ corresponds to the averaged values of  $\text{PM}_{10}$  mass concentration TEOM measurements for the Type 1 HW dates from the 2003–2014 period.

Type 1 HW	31 March to 4 April 2007		17 April to 22 April 2010	
Sites	Cinzana	Banizoumbou	Cinzana	Banizoumbou
MODIS $\text{AOD}_{550}$	3.1	0.6	3.0	2.9
AERONET $\text{AOD}_{550}$	1.6	2.7	1.5	1.0
TEOM $\text{PM}_{10}$	183	380	1745	565
Normal TEOM $\text{PM}_{10}$	144	189	157	214

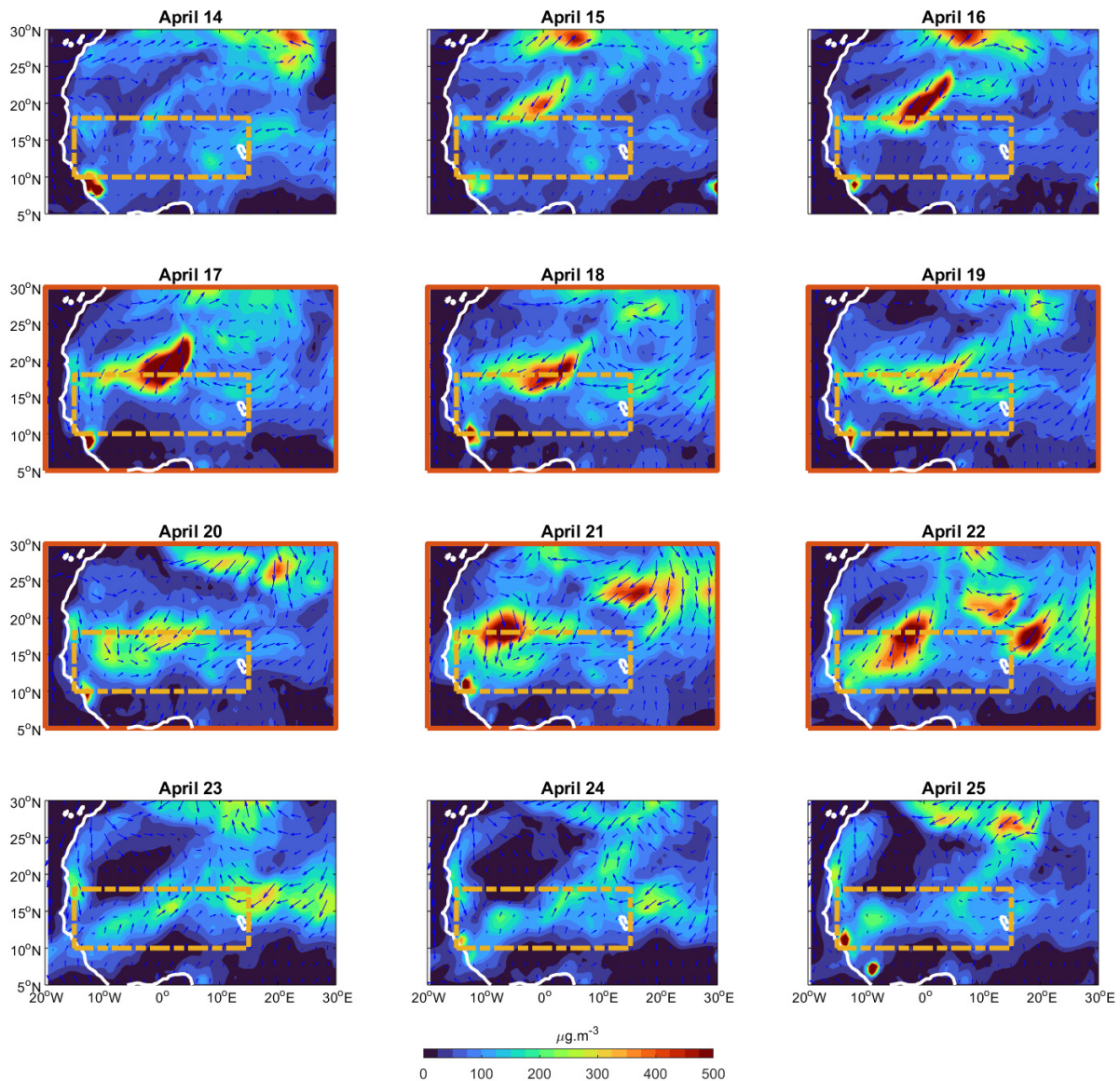
## 5.2. Analysis of the Specific Case of the Historic 2010 Heat Wave in the Sahel Based on $\text{PM}_{10}$ Mass Concentrations Products from Reanalysis

The historic 2010 HW in the Sahel starts on 17 April and ends on 22 April 2010. In order to describe the atmospheric circulation associated with the HW, we map the  $\text{PM}_{10}$  concentrations and the wind at 925 hPa, 3 days before the starting day, during the 6 days of the HW and 3 days after the ending day (Figure 6) based on the CAMS reanalysis [46]. Satellite retrievals of AOD, among other variables, are assimilated for the CAMS reanalysis with ECMWFs IFS (European Center for Medium-Range Weather Forecasts Integrated Forecasting System). The spatial resolution is a regular  $0.75^\circ \times 0.75^\circ$  grid provided every 3 h on 60 pressure levels.

The  $\text{PM}_{10}$  concentrations increase gradually around  $20^\circ\text{N}/5^\circ\text{W}$  until the 17th to reach more than  $500 \mu\text{g}/\text{m}^3$  [22,29]. The dust may originate from the Eastern Lybian Desert dust source as identified in Prospero et al. (2002) [28] and transported by the Harmattan winds from the northeast. The  $\text{PM}_{10}$  concentrations remains high during the HW and decrease from the 22th, associated with more developed southern winds penetrating far to the north between  $15^\circ\text{N}$ – $18^\circ\text{N}$ . This could imply less dust emission from the Lybian source. It is important to note here that the  $\text{PM}_{10}$  surface concentrations are higher during the HW than the days before and after. Oueslati et al. (2017) [5] have shown that the greenhouse effect of water vapour advected from the ocean drives and sustains the HW. Our results suggest that the dust occurrence during the 2010 HW could amplify this effect by absorbing solar radiation. Special attention should be paid on the atmospheric circulation. For instance, the



anticyclonic circulation located around 20° N/15° W seems like a weather type identified by Moron et al. (2018) [4], which could be related to propagative systems such as Rossby waves [6].



**Figure 6.** Daily maps of PM<sub>10</sub> mass concentrations (in  $\mu\text{g}/\text{m}^3$ ) and wind speed (in m/s) and direction at 925 hPa during the historic 2010 HW. The HW starts from 17 April to 22 April. The aerosol and wind conditions are represented 3 days before the starting date (14 April to 16 April) and 3 days after the ending date (23 April to 25 April) of the 2010 HW. Our study area is materialized as the orange rectangle (10° N–18° N of latitude and 15° W–15° E).

## 6. Conclusions and Perspectives

The purpose of this study was to enlarge our knowledge of the HW in the Sahel by identifying to what extent the warm days are associated with mineral dust. Further, we estimated the aerosol quantity and type during the HW in order to be able reinforcing or invalidating the assumption according to which above normal Saharan dust (type) amount (quantity) could impact the atmospheric temperature elevation at the surface in West Africa.

We detected and characterised the HW in the Sahel for a 10-year period in the 2000s. Following Russo et al. (2014) [31] based on the P90 threshold, 14 HWs have been detected between 2003 and 2014 (Table 1). Their mean duration is 4.7 days and their mean maximum



temperature is 40.1 °C, i.e., 5 °C above the  $T_{max}$  climatology (35.2 °C). Then, we categorize HWs in three types (Table 1) according to the aerosol properties,  $AOD_{550}$ ,  $AE_{440-870}$  and  $SSA_{412}$  (Figures 1 and 3). Type 1, Pure Dust Situation (PDS), corresponds to absorbing dust in very high quantity in the atmosphere with a huge spatial extent. Aerosols in Type 2 and Type 3, less absorbing, are Mixed Situation with Coarse Particles (MS-CP) and Fine Particles (MS-FP), respectively.

Our study shows that the intensity of Type 1 HWs, which is the difference between  $T_{max}$  and P90, is twice as high as that of Type 2 and Type 3 (+1.1 °C versus +0.5 °C). A focused study on the 2007 and 2010 HWs, both categorized as Type 1, show that  $PM_{10}$  concentrations are high at the surface, and especially for the 2010 huge and historical HWs, for which the  $PM_{10}$  mean ranges from 144  $\mu\text{g}/\text{m}^3$  to 214  $\mu\text{g}/\text{m}^3$ , suggesting that this could have effects on the temperature elevation at the surface. This places mineral dust as a potential booster of the spring HW intensity in the Sahel. This result reinforces, for the Sahel, the assumption proposed by Valenzuela et al. (2017) for Saharan dust episodes recorded in Portugal [8] and more recently, by Sousa et al. (2019) for Spain [7].

Our findings raise the question of the potential warming effect of the coarse, particularly absorbing and highly concentrated dust at the surface during HWs from March to June in West Africa. This results put stress on the importance of considering mineral dust in the understanding and modelling of the HWs in the Sahel for the current period and for the next decades. In the context of climate change, more frequent and intense HWs are expected in West Africa [50] as well as more mineral dust, projected to increase by the end of the century which dampens the warming by 0.1–1 °C in all seasons in West Africa [51]. The potential increase in the dusty HWs in the future may be particularly critical for human health impacts, related to the combined dust/temperature factors.

To go further, dusty HWs needs a comprehensive study because they are rare events and the results obtained are difficult to generalize—one solution could be to investigate dust–HW relationships in other tropical regions contaminated by mineral dust as in India or China—but above all, because we need to better understand the aerosol absorption properties and their role in the modification of the radiative budget compared to other factors such as water vapour, cloud cover or other types of aerosols such as biomass burning. A first step would be to work with more robust aerosol absorption products from remote sensing datasets. For instance, the synergy between the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) and MODIS could give more precise SSA values at the surface, as suggested by Jeong and Hsu (2008 [45] for biomass burning. Moreover, the CALIPSO data could give information on the vertical distribution of aerosols, type and quantity, specifically  $PM_{10}$  mass concentrations at the surface [52]. The integration of in situ radiation measurements at different levels in the atmosphere and in different IR bands may also help in constraining the aerosol properties and the radiative effect. This consideration is key as mineral dust has the largest capability to perturb the IR radiative field, due to the particles' large size and the abundance at the global level, which peaks in the arid regions and their surroundings [28,32]. Another perspective is the clear discrimination between the water vapour effect from the dust radiative forcing during the spring HWs in the Sahel. The study by Largeron et al. (2020) [53] explains that advected water vapour increases the nocturnal radiative warming at the soil surface during Sahelian HWs. Our study suggests that during a Type 1 HW (for instance, in April 2010), Saharan dust could add a warming effect near the surface.

One research line would be to characterise the atmospheric conditions leading to dust emission and transport associated with a HW. For instance, Moron et al. [4] described some weather types associated with the Sahelian HWs that could lead to better understanding of the mineral dust transport and the case of dusty HWs explored in this study.

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& editing, P.M.N., N.M. (Nadège Martiny), P.R. and N.M. (Nicolas Marilleau); funding acquisition, N.M. (Nadège Martiny). All authors have read and agreed to the published version of the manuscript.

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